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Transmitted herewith for filing under 37 CFR 1.53(b) is the

- ☒ patent application of
☐ continuation patent application of
☐ divisional patent application of
☐ continuation-in-part patent application of

Inventor(s)/Applicant Identifier: David J. Balaban et al.

For: COMPUTER BASED METHOD FOR PROVIDING A LABORATORY INFORMATION MANAGEMENT SYSTEM

- ☒ Please amend this application by adding the following before the first sentence: "This application claims the benefit of U.S. Provisional Application No. 60/100,724, filed September 17, 1998, the disclosure of which is incorporated by reference."

Enclosed are:

- ☒ 20 page(s) of specification
☒ 1 title page
☒ 5 page(s) of claims
☒ 1 page of Abstract
☒ Title Page
☒ 41 sheet(s) of ☐ formal ☒ informal drawing(s)
☒ 65 pages of Appendix
☒ An unsigned Declaration & Power of Attorney

	(Col. 1)	(Col. 2)
FOR:	NO. FILED	NO. EXTRA
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TOTAL CLAIMS	25 - 20	= *5
INDEP. CLAIMS	5 - 3	= *2
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Respectfully submitted,
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PATENT APPLICATION

COMPUTER BASED METHOD FOR PROVIDING A LABORATORY INFORMATION MANAGEMENT SYSTEM

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**COMPUTER BASED METHOD FOR PROVIDING A LABORATORY
INFORMATION MANAGEMENT SYSTEM**

APPENDIX

One or more embodiments according to the present invention are provided in a paper appendix comprising 65 pages, hereafter attached, the entire contents of which is incorporated herein by reference for all purposes.

CROSS-REFERENCES TO RELATED APPLICATIONS

This application claims priority from the following U.S. Provisional Applications, the entire disclosure of which, including all appendices and all attached documents, is incorporated by reference in its entirety for all purposes:

U.S. Provisional Patent Application No. 60/100,724 filed on September 17, 1998, entitled METHOD AND APPARATUS FOR PROVIDING A LABORATORY INFORMATION MANAGEMENT SYSTEM, (Attorney Docket Number 018547-037500US); and

U.S. Provisional Patent Application No. 60/100,740 filed on September 17, 1998, entitled METHOD AND APPARATUS FOR PROVIDING AN EXPRESSION DATA MINING DATABASE, (Attorney Docket Number 018547-033840US).

Furthermore, commonly owned, copending U.S. Patent Application No. 09/122,167, entitled METHOD AND APPARATUS FOR PROVIDING A BIOINFORMATICS DATABASE, filed on July 24, 1998; and

U.S. Patent Application No. 09/122,434, entitled GENE EXPRESSION AND EVALUATION SYSTEM, filed July 24, 1998 are herein incorporated by reference.

**STATEMENT AS TO RIGHTS TO INVENTIONS MADE UNDER
FEDERALLY SPONSORED RESEARCH AND DEVELOPMENT**

Research leading to portions of the present invention was funded by the Department of Commerce through the National Institute of Standards and Technology.

BACKGROUND OF THE INVENTION

The present invention relates to computer systems and more particularly to computer systems for managing laboratory operations for gene expression monitoring, sequencing and sequence checking.

5 Information on expression of genes or expressed sequence tags may be collected on a large scale in many ways, including probe array techniques. For example, PCT application WO92/10588, incorporated herein by reference for all purposes, describes techniques for sequencing or sequence checking nucleic acids and other materials. Probes for performing these operations may be formed in arrays according to
10 the methods of, for example, the pioneering techniques disclosed in U.S. Patent No. 5,143,854 and U.S. Patent No. 5,571,639, both incorporated herein by reference for all purposes. One of the objectives in collecting this information is the identification of genes or ESTs whose expression is of particular importance.

Computer-aided techniques for monitoring gene expression using such
15 arrays of probes have been developed as disclosed in EP Pub. No. 0848067 and PCT publication No. WO 97/10365, the contents of which are herein incorporated by reference. Many disease states are characterized by differences in the expression levels of various genes either through changes in the copy number of the genetic DNA or through changes in levels of transcription (e.g., through control of initiation, provision of RNA
20 precursors, RNA processing, etc.) of particular genes. For example, losses and gains of genetic material play an important role in malignant transformation and progression. Furthermore, changes in the expression (transcription) levels of particular genes (e.g., oncogenes or tumor suppressors), serve as signposts for the presence and progression of various cancers.

25 Collecting vast amounts of expression data from large numbers of samples including the tissue types is but the first step in automating genetic expression sequence analysis. To achieve greater efficiencies in the process of collecting and storing expression data, one looks for improved methods to efficiently manage the operations and data collection in the laboratory conducting gene expression sequence analysis.

SUMMARY OF THE INVENTION

The present invention provides techniques for improved monitoring of genetic expression or sequence analysis. More particularly, the present invention

provides a method for managing laboratory operations for monitoring expression or performing sequence analysis.

According to an embodiment of the present invention, a computer based method for managing information about a plurality of experiments conducted on a plurality of samples is provided. Each experiment can provide an indication of the degree that particular genes are expressed in a sample. The method includes a variety of steps such as registering at least one of the plurality of samples with a centralized database. The method can include steps of tracking a plurality of information about the samples and tracking a plurality of information about the experiments. A step of producing a sample history about the plurality of samples from the plurality of information can also be a part of the method. The method can include filtering the information about the experiments and the information about the samples according to parameters selected by a user. The information can be made available for publishing to a variety of targets such as a public database. The combination of these steps can provide a web based user interface that can enable the user to access the information.

In many embodiments, the experimental result information can be entered in a format that can provide cross platform use and sharing of the information. One such format is Genetic Analysis Technology Consortium ("GATC"), a standard for genomic databases provided by Molecular Dynamics, of Hayward, CA, and Affymetrix, Inc., of Santa Clara, CA. Reference may be had to <http://www.gatconsortium.org> for further information about GATC. However, many embodiments can use other standard formats, such as those commonly known in the art.

In another aspect according to the present invention, a method for viewing the results of a plurality of experiments which are stored in at least one database is provided. The method includes a variety of steps such as specifying a database to query. One or more queries can be submitted to form a result. The user can then view the result. The result may be filtered according to one or more user specified factors of interest in order to form a filtered result, which can be put into a graphical form, for example, for ease of viewing.

Numerous benefits are achieved by way of the present invention over conventional techniques. In some embodiments, the present invention is more cost effective than conventional techniques. The present invention can also provide a graphical indication of laboratory analysis processes that is substantially clear for viewing. Some embodiments according to the invention are less complex than known

techniques. These and other benefits are described throughout the present specification and more particularly below.

The invention will be better understood upon reference to the following detailed description and its accompanying drawings.

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BRIEF DESCRIPTION OF THE DRAWINGS

Fig. 1 illustrates an overall system and process for forming and analyzing arrays of biological materials such as DNA or RNA in a particular embodiment according to the present invention;

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Figs. 2A-2B illustrate computer systems suitable for use in conjunction with the overall system of Fig. 1 in a particular embodiment according to the present invention;

Figs. 3A-3C illustrate simplified flowcharts of representative process steps according to particular embodiments according to the invention;

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Figs. 4A-4B illustrate representative database structures and data formats in a particular embodiment according to the present invention;

Figs. 5A-5C illustrate representative automation screens in a particular embodiment according to the present invention;

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Figs. 6A-6H illustrate representative expression analysis screens in a particular embodiment according to the present invention;

Figs. 7A-7C illustrate representative expression analysis screens for working with sets in a particular embodiment according to the present invention;

Figs. 8A-8G illustrate representative expression data mining screens in a particular embodiment according to the present invention;

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Figs. 9A-9F illustrate representative annotation screens in a particular embodiment according to the present invention; and

Figs. 10A-10F illustrate representative function screens in a particular embodiment according to the present invention.

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DESCRIPTION OF THE SPECIFIC EMBODIMENTS

One embodiment of the present invention operates in the context of a system for analyzing biological or other materials using arrays that themselves include probes that may be made of biological materials such as RNA or DNA. The VLSIPSTM and GeneChipTM technologies provide methods of making and using very large arrays of

polymers, such as nucleic acids, on very small chips. See U.S. Patent No. 5,143,854 and PCT Patent Publication Nos. WO 90/15070 and 92/10092, each of which is hereby incorporated by reference for all purposes. Nucleic acid probes on the chip are used to detect complementary nucleic acid sequences in a sample nucleic acid of interest (the
5 “target” nucleic acid).

It should be understood that the probes need not be nucleic acid probes but may also be other polymers such as peptides. Peptide probes may be used to detect the concentration of peptides, polypeptides, or polymers in a sample. The probes should be carefully selected to have bonding affinity to the compound whose concentration they are
10 to be used to measure.

Fig. 1 illustrates an overall system 100 for forming and analyzing arrays of biological materials such as RNA or DNA. This diagram is merely an illustration and should not limit the scope of the claims herein. One of ordinary skill in the art would recognize other variations, modifications, and alternatives. A chip design system 104 is
15 used to design arrays of polymers such as biological polymers such as RNA or DNA. Chip design system 104 may be, for example, an appropriately programmed Sun Workstation or personal computer or workstation, such as an IBM PC equivalent, including appropriate memory and a CPU. Chip design system 104 obtains inputs from a user regarding chip design objectives including characteristics of genes of interest, and
20 other inputs regarding the desired features of the array. Optionally, chip design system 104 may obtain information regarding a specific genetic sequence of interest from bioinformatics database 102 or from external databases such as GenBank. The output of chip design system 104 is a set of chip design computer files in the form of, for example, a switch matrix, as described in PCT application WO 92/10092, and other associated
25 computer files. Systems for designing chips for sequence determination and expression analysis are disclosed in U.S. Patent No. 5,571,639 and in PCT application WO 97/10365, the contents of which are herein incorporated by reference.

The chip design files are input to a mask design system (not shown) that designs the lithographic masks used in the fabrication of arrays of molecules such as
30 DNA. The mask design system designs the lithographic masks used in the fabrication of probe arrays. The mask design system generates mask design files that are then used by a mask construction system (not shown) to construct masks or other synthesis patterns such as chrome-on-glass masks for use in the fabrication of polymer arrays.

The masks are used in a synthesis system (not shown). The synthesis system includes the necessary hardware and software used to fabricate arrays of polymers on a substrate or chip. The synthesis system includes a light source and a chemical flow cell on which the substrate or chip is placed. A mask is placed between the light source and the substrate/chip, and the two are translated relative to each other at appropriate times for deprotection of selected regions of the chip. Selected chemical reagents are directed through the flow cell for coupling to deprotected regions, as well as for washing and other operations. The substrates fabricated by the synthesis system are optionally diced into smaller chips. The output of the synthesis system is a chip ready for application of a target sample. Information about the mask design, mask construction, and probe array synthesis systems is presented by way of background.

A biological source 112 is, for example, tissue from a plant or animal. Various processing steps are applied to material from biological source 112 by a sample preparation system 114. These steps may include isolation of mRNA, precipitation of the mRNA to increase concentration. The result of the various processing steps is a target sample ready for application to the chips produced by the synthesis system 110. Sample preparation methods for expression analysis are discussed in detail in WO97/10365.

The prepared samples include nucleic acid sequences such as RNA or DNA. When the sample is applied to the chip by a sample exposure system 116, the nucleic acids in the sample may or may not bond to the probes. The nucleic acids have been tagged with fluorescein labels to determine which probes have bonded to nucleic acid sequences from the sample. The prepared samples will be placed in a scanning system 118. Scanning system 118 includes a detection device such as a confocal microscope or CCD (charge-coupled device) that is used to detect the location where labeled receptors have bound to the substrate. The output of scanning system 118 is an image file(s) indicating, in the case of fluorescein labeled receptor, the fluorescence intensity (photon counts or other related measurements, such as voltage) as a function of position on the substrate. Since higher photon counts will be observed where the labeled target has bound more strongly to the array of polymers, and since the monomer sequence of the polymers on the substrate is known as a function of position, it becomes possible to determine the sequence(s) of the target on the substrate that are complementary to the probes.

The image files and the design of the chips are input to an analysis system 120 that, e.g., calls base sequences, or determines expression levels of genes or expressed

sequence tags. The expression level of a gene or EST is herein understood to be the concentration within a sample of mRNA or protein that would result from the transcription of the gene or EST. Such analysis techniques are disclosed in WO97/10365 and U.S. App. No. 08/531,137, the contents of which are herein incorporated by
5 reference.

An expression analysis database 122 maintains information used to analyze expression and the results of expression analysis. Contents of expression analysis database 122 may include tables listing analyses performed, analysis results, experiments performed, sample preparation protocols and parameters of these protocols, chip designs,
10 etc. Details of one embodiment of expression analysis database 122 are described in U.S. Patent Application No. 09/122,167, entitled METHOD AND APPARATUS FOR PROVIDING A BIOINFORMATICS DATABASE, filed on July 24, 1998, the contents of which are incorporated herein by reference for all purposes.

One or more instantiations of expression analysis database 122 may
15 contain information concerning the expression of many genes or ESTs as collected from many different tissue samples. It would be useful to use this information to investigate questions such as, e.g., 1) which genes or ESTs are upregulated (expressed more) in diseased tissue and downregulated (expressed less) in disease tissue, 2) how does gene expression vary among organs and tissue types within a species, 3) how does gene
20 expression vary among species which share common genes, 4) how does gene expression respond to various disease treatment regimes, 5) how does gene expression vary with progression of disease, etc.

To facilitate investigations of this kind, an expression mining database 124 is provided. Expression mining database 124 may include duplicate representations of
25 data in expression analysis database. Expression mining database 124 may also include various tables to facilitate mining operations conducted by a user who operates a querying and mining system 126. Querying and mining system 126 includes a user interface that permits an operator to make queries to investigate expression of genes and ESTs and answer the types of questions identified above. An example of a querying and mining
30 system is described in a commonly owned U.S. Patent Application No. 09/122,434, entitled GENE EXPRESSION AND EVALUATION SYSTEM, filed July 24, 1998.

Chip design system 104, analysis system 120 and control portions of exposure system 116, sample preparation system 114, and scanning system 118 may be appropriately programmed computers such as a Sun workstation or IBM-compatible PC.

An independent computer for each system may perform the computer-implemented functions of these systems or one computer may combine the computerized functions of two or more systems. One or more computers may maintain expression analysis database 122, expression mining database 124, and querying and mining system 126

independent of the computers operating the systems of Fig. 1.

Fig. 2A depicts a block diagram of a host computer system 10 suitable for implementing a particular embodiment according to the present invention. This diagram is merely an illustration and should not limit the scope of the claims herein. One of ordinary skill in the art would recognize other variations, modifications, and alternatives.

Fig. 2A illustrates a host computer system 210 including a bus 212 which interconnects major subsystems such as a central processor 214, a system memory 216 (typically RAM), an input/output (I/O) adapter 218, an external device such as a display screen 224 via a display adapter 226, a keyboard 232 and a mouse 234 via an I/O adapter 218, a SCSI host adapter 236, and a removable disk drive 238 operative to receive a removable disk 240. SCSI host adapter 236 may act as a storage interface to a fixed disk drive 242 or a CD-ROM player 244 operative to receive a CD-ROM 246. Fixed disk 244 may be a part of host computer system 210 or may be separate and accessed through other interface systems. A network interface 248 may provide a direct connection to a remote server via a telephone link or to the Internet. Network interface 248 may also connect to a local area network (LAN) or other network interconnecting many computer systems. Many other devices or subsystems (not shown) may be connected in a similar manner.

Also, it is not necessary for all of the devices shown in Fig. 2A to be present to practice the present invention, as discussed below. The devices and subsystems may be interconnected in different ways from that shown in Fig. 2A. The operation of a computer system such as that shown in Fig. 2A is readily known in the art and is not discussed in detail in this application. Code to implement the present invention, may be operably disposed or stored in computer-readable storage media such as system memory 216, fixed disk 242, CD-ROM 246, or floppy disk 240.

Fig. 2B depicts a network 260 interconnecting multiple computer systems 210(a)-210(e) suitable for implementing a particular embodiment according to the present invention. This diagram is merely an illustration and should not limit the scope of the claims herein. One of ordinary skill in the art would recognize other variations, modifications, and alternatives. Network 260 may be a local area network (LAN), wide area network (WAN), and the like. Bioinformatics database 102 and the computer-related

operations of the other elements of Fig. 2B may be divided among computer systems 210 in any way with network 260 being used to communicate information among the various computers. Portable storage media such as removable disks may be used to carry information between computers instead of network 260.

5 Fig. 3A depicts a flowchart 301 of simplified process steps for managing information about a plurality of experiments conducted on a plurality of samples in a particular representative embodiment according to the present invention. This diagram is merely an illustration and should not limit the scope of the claims herein. One of ordinary skill in the art would recognize other variations, modifications, and alternatives.

10 Each experiment can provide an indication of a degree of expression of particular genetic sequences in a sample. In a step 310, at least one of the plurality of samples is registered with a centralized database. Next, in a step 312, a plurality of information about the plurality of samples is tracked. The result of step 312 is that the information about samples can be incorporated into the database. Then, in a step 314, a plurality of

15 information about the plurality of experiments is tracked. Changes to the experimental environment in the laboratory are reflected in the database by the function of step 314. Now, in a step 316, a sample history is produced from the information in the database. The sample history describes the state of the plurality of samples. In a step 318, the information about the plurality of experiments and the information about the plurality of

20 samples is filtered according to one or more filters selected by a user to produce expression sequence information. Finally, in an optional step 320, the expression sequence information resulting from the operation of the experiments in the laboratory can be published on a public database which can be accessed by a web based user interface or other means.

25 Fig. 3B depicts a flowchart 303 of simplified process steps for viewing the results of a plurality of samples in another embodiment according to the present invention. This diagram is merely an illustration and should not limit the scope of the claims herein. One of ordinary skill in the art would recognize other variations, modifications, and alternatives. The results can be stored in one or more databases. In a

30 step 322, the user specifies a database to query. Next, in a step 324, one or more queries is submitted to the database in order to form a result. Then, in a step 326, the result can be viewed by the user by means of a display. In a step 328, the result can be filtered according to one or more user specified filters. Finally, in a step 330, the filtered result can be placed into a graphical form.

Fig. 3C provides a representative flow chart 305 of simplified process steps for managing information about a plurality of experiments conducted on samples in a particular embodiment according to the present invention. This diagram is merely an illustration and should not limit the scope of the claims herein. One of ordinary skill in the art would recognize other variations, modifications, and alternatives. In step 330, the sample is registered with a database. Then, in a step 332 the experiment setup is performed. In a step 334 aliquoting is performed. Then, in step 336 RNA is extracted. A polymerized chain reaction (PCR) is performed on the RNA in a step 338. In a step 340 cRNA is labeled. In a step 342, fragmentation is performed. Hybridization is performed in a step 344. In a step 346, scanning of the hybridized chip is performed. Then in a step 348, grid alignment is performed. Cell average analysis is performed in a step 350. In a step 352, probe array analysis is performed, and in a step 354 a composite analysis is performed.

Fig. 4A illustrates a representative a database structure in a particular embodiment according to the present invention. This diagram is merely an illustration and should not limit the scope of the claims herein. One of ordinary skill in the art would recognize other variations, modifications, and alternatives. Fig. 4A illustrates a client work station 401, which can be one of the workstations 210 of Fig. 2B, for example, that can be interconnected with one or more of a plurality of databases. For example, GATC database 403 contains a plurality of gene chip results in GATC format. GATC format provides a standardized interface for gene chip data across multiple systems. Reference may be had to <http://www.gatconsortium.org> for documents entitled, "Software Specifications" and "Database Schema," incorporated herein by reference in its entirety for all purposes, for further information about GATC. Database 405 provides data mining information, and can include FAQs and preferences. Database 407 comprises annotations, descriptions and URLs for gene information. Embodiments can include all of the above databases, or can comprise a subset of the databases, or still further can include other databases without departing from the scope of the claimed invention.

The database structure of Fig. 4A can provide data management functions, data publishing functions, and integration with gene chip clients such as client 401. Data management functions can comprise a Laboratory Information Management System (LIMS). Embodiments implementing LIMS according to the present invention can provide functions of data tracking, such as process inputs, process outputs and process environments. Data security functions such as authentication, access permissions and

privileges, can include separating owners having write access and user groups with read-only access. Data sharing functions can provide for group access to data. Data publishing and sharing can be facilitated by compliance with a standardized data format. In a presently preferred embodiment, GATC format can be used. This standardized
5 format provides cross-system access to gene chip data. In a preferable embodiment, the database server can be an Internet server providing web browser access. Embodiments can include scripting capability and can provide analyses functions at the server. Some embodiments can provide communications with the database application through web applications, such as browsers and the like, and gene chip interfaces. Databases can be
10 embodied in a server such as an SQL server, an ORACLE server and the like. The database server can be resident on a number of platforms such as an ORACLE NT, UNIX and the like.

Fig. 4B illustrates a data source selection window 409 having a plurality of data sources from which gene and experiment information can be obtained, searched, and
15 manipulated in a particular embodiment according to the present invention. This diagram is merely an illustration and should not limit the scope of the claims herein. One of ordinary skill in the art would recognize other variations, modifications, and alternatives. Fig. 4B illustrates a plurality of different database formats including, but not limited to, MICROSOFT EXCEL files, text files, MICROSOFT ACCESS 97 Database, AlfaPublish, DataMiningInfo, GeneInfo, JetForm ASCII files, JetForm dBase, JfDbFetchDBF, JfSample, JetForm Filler Example, Forms Track, JetForm Excel, JetForm Excel 5, AFFYMETRIX, Publish_Static, GeneChipLIMS, EliPublish, GEData, and others.
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Many embodiments according to the present invention can provide for automation of experimental data collection and analyses, as well as publication of results.
25 Many embodiments according to the present invention can provide expression analysis, sample registration and result publication for a plurality of experiments for a particular sample, as well as for a plurality of samples. Additionally, the methods and techniques of the present invention can automate the definition of user parameters for analyses and the like.

30 Fig. 5A illustrates a representative automation page in a particular embodiment according to the present invention. This diagram is merely an illustration and should not limit the scope of the claims herein. One of ordinary skill in the art would recognize other variations, modifications, and alternatives. Fig. 5A illustrates an automation page 501 having a sample information section 502 and an experiment

information section 504 and a sample experiment probe array section 506. Sample information section 502 provides fields for entering data such as a sample name, a sample type, a project name and a description of the sample and any comments. Fields for entering other data can also be included in various embodiments of the present invention.

5 Experiment information section 504 includes fields for entering experiment name, a probe array image identifier, a probe array type and information about the probe array such as a lot number, an analysis set, a cell average set, as well as a target database for publishing results. Section 506 provides a display for matching sample probe arrays, sample experiments and probe array identifier's. A presently preferable embodiment provides
10 the capability to have multiple samples as well as the capability to have multiple experiments per sample.

Fig 5B illustrates an automation results page 503 in a particular embodiment according to the present invention. This diagram is merely an illustration and should not limit the scope of the claims herein. One of ordinary skill in the art would
15 recognize other variations, modifications, and alternatives. Automation results page 503 provides a display of a plurality of steps in the setup and execution of an experiment and a result for a particular sample for each of the steps. For example, as illustrated by Fig. 5B, a sample first step entitled, "sample demo past registration" has received a pass result. Other steps can be included in various embodiments without departing from the
20 scope of the claims of the present invention.

Fig. 5C illustrates a representative expression scan screen 505 in a particular embodiment according to the present invention. This diagram is merely an illustration and should not limit the scope of the claims herein. One of ordinary skill in the art would recognize other variations, modifications, and alternatives. Fig. 5C
25 illustrates information about a pending scan. Screen 505 includes a hybridized expression probe array image identifier field 510, which users can use to select particular probe arrays for scanning. A sample in experiment information field 512 provides information about the sample such as its name, a project, the type of sample, the user's identifier and the date, as well as information about the experiment. Probe array information field 514
30 provides information about the probe array image such as the identifier, the array type and the lot number. Hybridization information field 516 provides information about reagents and lot numbers. A plurality of filter fields 518 provide the capability to filter sample projects, sample types and probe array types.

Fig. 6A illustrates a representative sample registration screen in a particular embodiment according to the present invention. This diagram is merely an illustration and should not limit the scope of the claims herein. One of ordinary skill in the art would recognize other variations, modifications, and alternatives. Fig. 6A

5 illustrates sample registration screen 601 having fields for entry of data that describe the sample. For example, screen 601 includes fields for entering a sample name 602, sample project, sample type, as well as comments and description fields. An initial process entry point field 604 enables the user to select a particular point in the laboratory's processes as a starting point. A registered samples field 606 provides a listing of samples that have
10 been registered. A sample information field 608 provides information about the various samples.

Fig. 6B illustrates a plurality of screens before automating laboratory information management in a particular embodiment according to the present invention. This diagram is merely an illustration and should not limit the scope of the claims herein.
15 One of ordinary skill in the art would recognize other variations, modifications, and alternatives. Fig. 6B illustrates screens 610 for performing experiment setup. Screens 612 provide for performing the aliquoting step. Screens 614 provide for performing RNA extraction. Screens 616 provide for performing RT PCR. Screens 618 provide for performing cRNA labeling and screens 620 provide for performing
20 fragmentation. Other screens and different types or designs of screens can be used in various embodiments according to the present invention without departing from the scope of the claims herein.

Fig. 6C illustrates representative hybridization screens in a particular embodiment according to the present invention. This diagram is merely an illustration
25 and should not limit the scope of the claims herein. One of ordinary skill in the art would recognize other variations, modifications, and alternatives. Fig. 6C illustrates a screen 621 for controlling hybridization processes. Screen 621 comprises a pending hybridization fragmented expression vessel identifier field 622. Such hybridization fragmented expression vessels contain samples that have been fragmented. Sample and
30 experiment information field 624 provides tracking information about samples and experiments in the hybridization process. Pending scan fields 626 provide hybridized expression and probe array image identification information. Fig. 6C also illustrates hybridization control screen 623 and hybridization control screen 625. Screen 623 provides information about an experiment waiting to undergo the hybridization step.

Screen 625 provides information about an experiment that has completed the hybridization step.

Fig. 6D illustrates grid alignment control screens in a particular embodiment according to the present invention. This diagram is merely an illustration and should not limit the scope of the claims herein. One of ordinary skill in the art would recognize other variations, modifications, and alternatives. Fig. 6D illustrates a grid alignment control screen 631. Grid alignment control screen 631 comprises a pending grid alignment display area 632 as well as a completed grid alignment display area 634. A sample experiment information field fields 636 provide information about samples and experiments in the grid alignment process. File type information field 638 provides identification information about the file type, and a probe array information field 639 provides identification information about the probe array.

Fig. 6E illustrates a representative cell average analysis screen in a particular embodiment according to the present invention. This diagram is merely an illustration and should not limit the scope of the claims herein. One of ordinary skill in the art would recognize other variations, modifications, and alternatives. Fig. 6E illustrates screen 641 having a plurality of fields for entering information about sample projects, experiment names, sample types, probe array types, user names, image data/probe array type, cell average name, image data and cell data, algorithm and other parameters. Further, a results area 642 provides information for a particular image name, a cell name, a probe array type and various parameters. A results area provides a pass/fail indication for the particular experiment.

Fig. 6F illustrates a representative probe array analysis screen in a particular embodiment according to the present invention. This diagram is merely an illustration and should not limit the scope of the claims herein. One of ordinary skill in the art would recognize other variations, modifications, and alternatives. Fig. 6F illustrates screen 651 having a plurality of fields for entering information about sample projects, experiment names, sample types, probe array types, user names, cell data/probe array type, probe array name, probe array data, algorithm and other parameters. Fig. 6F also illustrates a results area 652 having a cell name, a probe array name, a probe array type, a parameters area and a results area for providing a pass/fail indication.

Fig. 6G illustrates a composite analysis screen in a particular embodiment according to the present invention. This diagram is merely an illustration and should not limit the scope of the claims herein. One of ordinary skill in the art would recognize

other variations, modifications, and alternatives. Fig. 6G illustrates a screen 661 having a plurality of fields for entering information about sample projects, experiment names, sample types, user names, sense/anti-sense probe array, composite name, composite data, algorithm and other parameters. Additionally, screen 661 provides a results area 662 for displaying a sense chip file name, anti-chip file name, composite file name, a parameters area and a results area for providing a pass/fail indication of results.

Fig. 6H provides a representative sample history screen in a particular embodiment according to the present invention. This diagram is merely an illustration and should not limit the scope of the claims herein. One of ordinary skill in the art would recognize other variations, modifications, and alternatives. Simple history screen 681 provides a historical listing of processes which have completed with respect to a particular sample.

Fig. 7A illustrates a representative expression analysis screen for working with sets in a particular embodiment according to the present invention. This diagram is merely an illustration and should not limit the scope of the claims herein. One of ordinary skill in the art would recognize other variations, modifications, and alternatives. Fig. 7A illustrates screen 701 having a plurality of fields including a probe array type field 710, a user name field 712, an algorithm field 714, cell average name field 716, parameter field 718, existing set name field 711, a create update set name field 713, and a results area 719. The results area provides fields for image name, cell name, probe array type, algorithm, set name and an area for indicating a pass/fail result for the expression analysis step. Some embodiments can provide support for batch analysis of experimental results and user parameter sets.

Fig. 7B illustrates a create set name screen in a particular embodiment according to the present invention. This diagram is merely an illustration and should not limit the scope of the claims herein. One of ordinary skill in the art would recognize other variations, modifications, and alternatives. Fig. 7B illustrates a screen 703 having a probe array type field 720, a probe array types used field 722, an existing set names field 724, and an area for specifying scaling and normalizations for various chips.

Fig. 7C illustrates an expression cell data analysis screen in a particular embodiment according to the present invention. This diagram is merely an illustration and should not limit the scope of the claims herein. One of ordinary skill in the art would recognize other variations, modifications, and alternatives. Fig. 7C illustrates screen 705 having a plurality of fields for describing filter parameters. Filtering can be performed on

a number of fields such as the assay type, data type, probe array type, date; including month, day and year, sample project, experiment name, sample type, user name and others.

Figs. 8A-8C illustrate representative Expression Data Mining Tool (EDMT) screens in a particular embodiment according to the present invention. These diagrams are merely illustrations and should not limit the scope of the claims herein. One of ordinary skill in the art would recognize other variations, modifications, and alternatives. Fig. 8A illustrates an EDMT screen 801. Screen 801 comprises a plurality of areas, such as an area 802 that provides information about filters. Filters can be applied to the experimental data to narrow down the field of data on which to mine. A results area 804 provides results of the filter data. A graphs area 806 provides a plurality of formats of graphs for viewing the data.

Fig. 8B illustrates a filter area such as filter area 802 of Fig. 8A in a particular embodiment according to the present invention. Fig. 8B illustrates filter area 802 having fields for a project filter 812, a probe array filter 814, a sample-type filter 816, an operator filter 818, a sample name filter 820, an experiment filter 822 and an analysis filter 824. Fig. 8B also illustrates a filter results field for illustrating the type of filters being applied to the data. Queries can be described using the filters of filter area 802. In a presently preferable embodiment, a user can select the analyses to query and then select the ranges on the results.

Fig. 8C illustrates a results area such as results area 804 of Fig. 8A in a particular embodiment according to the present invention. Fig. 8C illustrates results area 804 having an experimental results table 830 and query results table 832 and a pivot results table 834.

Figs. 8D-8G illustrate representative graphs such as can be displayed in graph section 806 of Fig. 8A in a particular embodiment according to the present invention. These diagrams are merely illustrations and should not limit the scope of the claims herein. One of ordinary skill in the art would recognize other variations, modifications, and alternatives. Fig. 8D illustrates a scatter-type graph of experimental results. The scatter graph can graph any numeric result on a logarithmic or linear scale. Further, a presently preferable embodiment can provide the capability to have multiple analyses per axes. A description of the probe set is included on the right side of the graph. A hotlink to external databases can also be provided at least in the preferred

embodiment according to the present invention. Other options such as filters, point sizes, colors and the like can be specified by the user.

Fig. 8E illustrates a fold change graph that can be displayed in graph area 806 of Fig. 8A in a particular embodiment according to the present invention. Full change graph of Fig. 8E can be provided using logarithmic or linear scales, the capability to provide a probe set description hotlinks to external data bases and recompute fold change can also be provided by particular embodiments according to the present invention. Further, users can specify options such as point sizes, colors and the like.

Fig. 8F illustrates a representative bar graph such as can be displayed in graph area 806 of Fig. 8A in a particular embodiment according to the present invention. The bar graph of Fig. 8F can graph any numeric result and embodiments can provide the capability to users to change options such as bar size, colors and the like.

Fig. 8G illustrates a representative histogram graph such as can be displayed in graph area 806 of Fig. 8A. The histogram graph of Fig. 8G provides the ability to histogram average differences to indicate various landmarks and can provide the user with the capability to specify options such as pin size, range, colors and the like.

Fig. 9A illustrates a queries display screen in a particular embodiment according to the present invention. This diagram is merely an illustration and should not limit the scope of the claims herein. One of ordinary skill in the art would recognize other variations, modifications, and alternatives. Fig. 9A illustrates name saved queries screen 901 having a display area for a plurality of filters. Users can define filters to the system and save them along with a reference name, that is displayed by screen 901. Filters can be saved to data mining information database 304 for later use.

Fig. 9B illustrates an annotation screen 903 in a particular embodiment according to the present invention. This diagram is merely an illustration and should not limit the scope of the claims herein. One of ordinary skill in the art would recognize other variations, modifications, and alternatives. Annotation screen 903 provides a mechanism for displaying information about a probe set. Annotations can include an annotation text, a type of the annotation as well as other useful information. Annotation types can be user defined in a preferred embodiment. A user name can also be specified and a date can be specified. Other information can be specified in some embodiments and not all of this information will be specified in some embodiments.

Fig. 9C illustrates an example of displaying a probe annotation such as was configured in annotation screen 903 of Fig. 9B in a particular embodiment according

to the present invention. This diagram is merely an illustration and should not limit the scope of the claims herein. One of ordinary skill in the art would recognize other variations, modifications, and alternatives. Fig. 9C illustrates a highlighted line of information 904 for which a corresponding probe annotation 906 is displayed. The probe
5 annotation can provide the name of the probe, a description and other useful information.

Fig. 9D illustrates a query annotation screen in a particular embodiment according to the present invention. This diagram is merely an illustration and should not limit the scope of the claims herein. One of ordinary skill in the art would recognize other variations, modifications, and alternatives. Fig. 9D illustrates query annotation
10 screen 910 having fields to specify probe sets types, annotations, a user identifier, a date, and a description. Query annotations can provide the ability to specify multiple filters and can also provide the ability to update annotations.

Fig. 9E illustrates a probe set description screen in a particular embodiment according to the present invention. This diagram is merely an illustration
15 and should not limit the scope of the claims herein. One of ordinary skill in the art would recognize other variations, modifications, and alternatives. Fig. 9E illustrates probe set description screen 912 having the name of a probe set and an associated description. These descriptions can also be displayed in the expression data mining tool screen 801 under the results section 804.

Fig. 9F illustrates a search screen for searching array descriptions in a particular embodiment according to the present invention. This diagram is merely an illustration and should not limit the scope of the claims herein. One of ordinary skill in the art would recognize other variations, modifications, and alternatives. Fig. 9F
20 illustrates search array descriptions screen 914 having an search field 916 for accepting input, and an output field 918 for displaying the probe sets which match the text entered in the input field for the description of the probe set. Search array descriptions screen 914 provides users with the capability to search descriptions in the database. The user can
25 define the search criteria using the input field and can add the results to various filters.

Fig. 10A illustrates screens for searching external databases in a particular
30 embodiment according to the present invention. This diagram is merely an illustration and should not limit the scope of the claims herein. One of ordinary skill in the art would recognize other variations, modifications, and alternatives. Fig. 10A illustrates a probe set description dialog screen 1002 having a probe set name, a description and various annotations. The user can search using the probe set description dialog screen 1002 for

information corresponding to the description in external databases. By selecting the entrez database in dialog screen 1002, a browser window 1004 is displayed. Browser window 1004 provides for browsing information about gene genetic expression sequences and the like in external databases such as the entrez database. In a presently preferred embodiment, a URL can be associated with a particular probe set. Further, multiple URLs can be associated for a particular probe set and a browser window can be automatically activated by the system to display relevant information about a probe set from external databases.

Fig. 10B illustrates a FAQ display selection screen in a particular embodiment according to the present invention. This diagram is merely an illustration and should not limit the scope of the claims herein. One of ordinary skill in the art would recognize other variations, modifications, and alternatives. Fig. 10B illustrates a FAQ selection screen 1008 having a plurality of frequently used searches. A user can perform one of the searches by simply selecting the desired search. A dialog screen 1010 can be displayed to the user upon selection of a particular FAQ. Dialog screen 1010 provides a plurality of questions that the user can answer in order to define the selected search. In a presently preferable embodiment, FAQs can be stored in data mining information database 306. Questions associated with a particular query, English translations and SQL statements can also be stored in the database with the FAQ.

Fig. 10C illustrates a gene chip migration screen in a particular embodiment according to the present invention. This diagram is merely an illustration and should not limit the scope of the claims herein. One of ordinary skill in the art would recognize other variations, modifications, and alternatives. Fig. 10C illustrates gene chip migration screen 1022 having a display area for local files in a plurality of formats 1024, a display area 1026 indicating data to migrate, a status area 1028 and a LIMS sample area 1030. The migration screen can be used to add gene chip data to the LIMS. In a preferred embodiment, it can facilitate association of information about samples, experiments, scan data and results. Further, some embodiments can perform simulations of workflow.

Fig. 10D illustrates fluidics station control screens 1031 and 1032 in a particular embodiment according to the present invention. This diagram is merely an illustration and should not limit the scope of the claims herein. One of ordinary skill in the art would recognize other variations, modifications, and alternatives. Fluidics control screens 1031 and 1032 can provide the user with the capability to control a fluidics

station based upon selection of particular experiment names and protocols. The user can specify assay types, sample projects, reagents and protocols using the fluidics control screens.

Fig. 10E illustrates a scanner control screens 1041 and 1042 for controlling the scanning to a local drive or to a network in particular embodiment according to the present invention. This diagram is merely an illustration and should not limit the scope of the claims herein. One of ordinary skill in the art would recognize other variations, modifications, and alternatives. Scan control screens 1041 and 1042 provide the capability to the user to specify experiment name, probe array types, number of scans to be performed, assay-types, sample projects, experiments and a display of the scanned experiments.

Fig. 10F illustrates experiment information screens 1051 and 1052 in a particular embodiment according to the present invention. This diagram is merely an illustration and should not limit the scope of the claims herein. One of ordinary skill in the art would recognize other variations, modifications, and alternatives. Experiment information screens 1051 and 1052 provide the user with the capability to specify experiment names, probe array, probe array lots, operators, sample types, sample descriptions, projects, comments, reagents and reagent lots.

In conclusion the present invention provides for a method for managing laboratory operations for genetic expression monitoring and sequence analysis. One advantage is that the method provides better access to genetic expression information than methods known in the prior art. Another advantage provided by this approach is that the status of experiments which are in progress can be readily determined.

It is understood that the examples and embodiments described herein are for illustrative purposes only and that various modifications or changes in light thereof will be suggested to persons skilled in the art and are to be included within the spirit and purview of this application and scope of the appended claims. For example, tables may be deleted, contents of multiple tables may be consolidated, or contents of one or more tables may be distributed among more tables than described herein to improve query speeds and/or to aid system maintenance. Also, the database architecture and data models described herein are not limited to biological applications but may be used in any application. All publications, patents, and patent applications cited herein are hereby incorporated by reference.

WHAT IS CLAIMED IS:

1 1. A computer based method for managing information about a
2 plurality of experiments conducted on a plurality of samples, wherein each experiment
3 provides an indication of a degree of expression of particular genetic sequences in a
4 sample, said method comprising:

5 registering at least one of said plurality of samples with a centralized
6 database;

7 tracking a plurality of information about said plurality of samples;

8 tracking a plurality of information about said plurality of experiments;

9 producing a sample history about said plurality of samples from said
10 plurality of information;

11 filtering said plurality of information about said plurality of experiments
12 and said plurality of information about said plurality of samples according to filter input
13 by a user to form a plurality of expression sequence information;

14 publishing said plurality of expression sequence information; and

15 providing a web based user interface to said user to enable the user to
16 access said information.

1 2. The method of claim 1 wherein said information about said
2 plurality of experiments includes a status of each of said plurality of experiments.

1 3. The method of claim 1 wherein said information about said
2 plurality of experiments includes a result for each of said plurality of experiments.

1 4. The method of claim 1 wherein said information about said
2 plurality of experiments includes a probe array type of each of said plurality of
3 experiments.

1 5. The method of claim 1 wherein said information about said
2 plurality of experiments includes a probe array lot number of each of said plurality of
3 experiments.

1 6. The method of claim 1 wherein said information about said
2 plurality of sample includes a sample type of each of said plurality of experiments.

1 7. The method of claim 1 wherein said information about said
2 plurality of sample includes a sample project of each of said plurality of experiments.

1 8. The method of claim 1 wherein said plurality of experiments
2 includes at least two experiments for each sample in said plurality of samples.

1 9. The method of claim 1 wherein said plurality of experiments
2 includes one experiment for at least two samples in said plurality of samples.

1 10. A system for tracking information obtained from a plurality of gene
2 expression sequence experiments, said system comprising:
3 a server, having a data storage, said server operatively disposed to
4 registering at least one of said plurality of samples with a centralized
5 database;
6 tracking a plurality of information about said plurality of samples;
7 tracking a plurality of information about said plurality of experiments;
8 producing a sample history about said plurality of samples from said
9 plurality of information;
10 filtering said plurality of information about said plurality of experiments
11 and said plurality of information about said plurality of samples according to filter input
12 by a user to form a plurality of expression sequence information;
13 publishing said plurality of expression sequence information; and
14 providing a web based user interface to said user to enable the user to
15 access said information.

1 11. The system of claim 10 wherein said data storage is a GATC
2 compliant database.

1 12. The system of claim 10 wherein said data storage is a plurality of
2 relational databases.

1 13. The system of claim 10 further comprising a client connected to
2 said server, said client operatively disposed to submit queries to said data storage of said
3 server, said client further operatively disposed to receive responses from said server
4 containing information contained in said data storage.

14. The system of claim 13 wherein said client and said server are interconnected by an internetwork.

15. A method for viewing a result of a plurality of experiments conducted on a plurality of samples, said results stored in at least one of a plurality of databases, said method comprising the steps:

- specifying which database to query;
- submitting at least one of a plurality of queries to form a result;
- viewing said result;
- filtering said result according to at least one of a plurality of user specified factors of interest to form a filtered result; and
- putting said filtered result into a graphical form.

16. A computer program product for managing information about a plurality of experiments conducted on a plurality of samples, wherein each experiment provides an indication of a degree of expression of particular genetic sequences in a sample, said product comprising:

- code for registering at least one of said plurality of samples with a centralized database;
- code for tracking a plurality of information about said plurality of samples;
- code for tracking a plurality of information about said plurality of experiments;
- code for producing a sample history about said plurality of samples from said plurality of information;
- code for filtering said plurality of information about said plurality of experiments and said plurality of information about said plurality of samples according to filter input by a user to form a plurality of expression sequence information;
- code for publishing said plurality of expression sequence information;
- code for providing a web based user interface to said user to enable the user to access said plurality of expression sequence information; and
- a computer readable storage medium for holding the codes.

1 17. The computer program product of claim 16 wherein said
2 information about said plurality of experiments includes a status of each of said plurality
3 of experiments.

1 18. The computer program product of claim 16 wherein said
2 information about said plurality of experiments includes a result for each of said plurality
3 of experiments.

1 19. The computer program product of claim 16 wherein said
2 information about said plurality of experiments includes a probe array type of each of said
3 plurality of experiments.

1 20. The computer program product of claim 16 wherein said
2 information about said plurality of experiments includes a probe array lot number of each
3 of said plurality of experiments.

1 21. The computer program product of claim 16 wherein said
2 information about said plurality of sample includes a sample type of each of said plurality
3 of experiments.

1 22. The computer program product of claim 16 wherein said
2 information about said plurality of sample includes a sample project of each of said
3 plurality of experiments.

1 23. The computer program product of claim 16 wherein said plurality
2 of experiments includes at least two experiments for each sample in said plurality of
3 samples.

1 24. The computer program product of claim 16 wherein said plurality
2 of experiments includes one experiment for at least two samples in said plurality of
3 samples.

1 25. A computer based method for managing information about a
2 plurality of experiments conducted on a plurality of samples, wherein each experiment
3 provides an indication of a degree of expression of particular genetic sequences in a
4 sample, said method comprising:

- 5 tracking information about said plurality of experiments conducted on said
- 6 plurality of samples to form a database of information;
- 7 analyzing the results of the tracking step;
- 8 querying the database.

COMPUTER BASED METHOD FOR PROVIDING A LABORATORY INFORMATION MANAGEMENT SYSTEM

ABSTRACT

According to an embodiment of the present invention, a computer based method for managing information about a plurality of experiments conducted on a plurality of samples is provided. Each experiment provides an indication of a degree of expression of particular genetic sequences in a sample. The method includes a variety of steps such as registering at least one of the plurality of samples with a centralized database. The method then includes steps of tracking a plurality of information about the samples and tracking a plurality of information about the experiments. A step of producing a sample history about the plurality of samples from the plurality of information is also a part of the method. The method filters the information about the experiments and the information about the samples according to filters selected by a user. The information is made available for publishing to a variety of targets such as a public database. The combination of these steps can provide a web based user interface to the user to enable the user to access the information.

PA 3013214 v1

100

objectives

104

Chip Design

Querying and Mining
System

Expression Mining
Database

Expression Analysis
Database

Expression Analysis

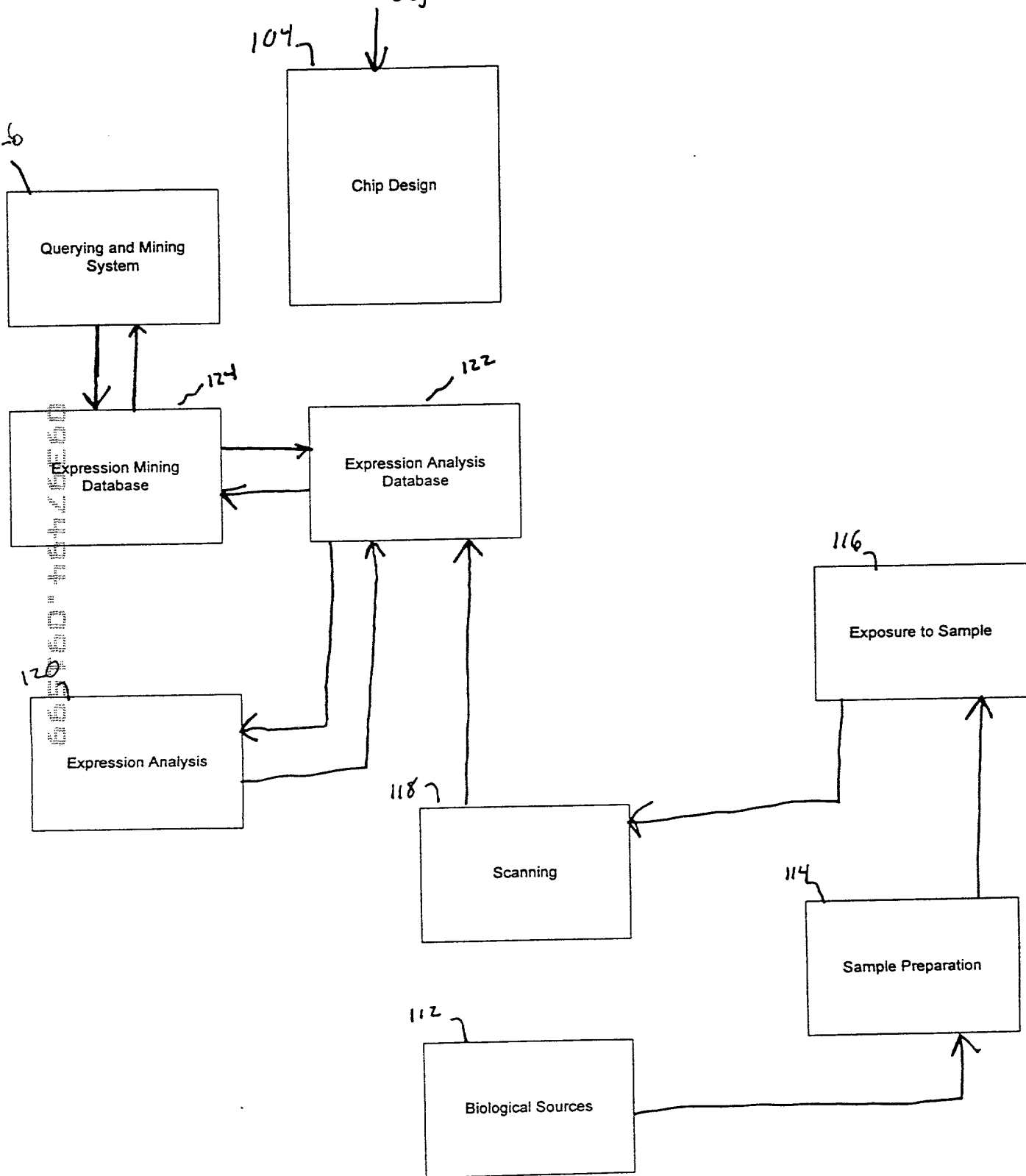
Scanning

Exposure to Sample

Sample Preparation

Biological Sources

Fig. 1



5552320-1642560

210

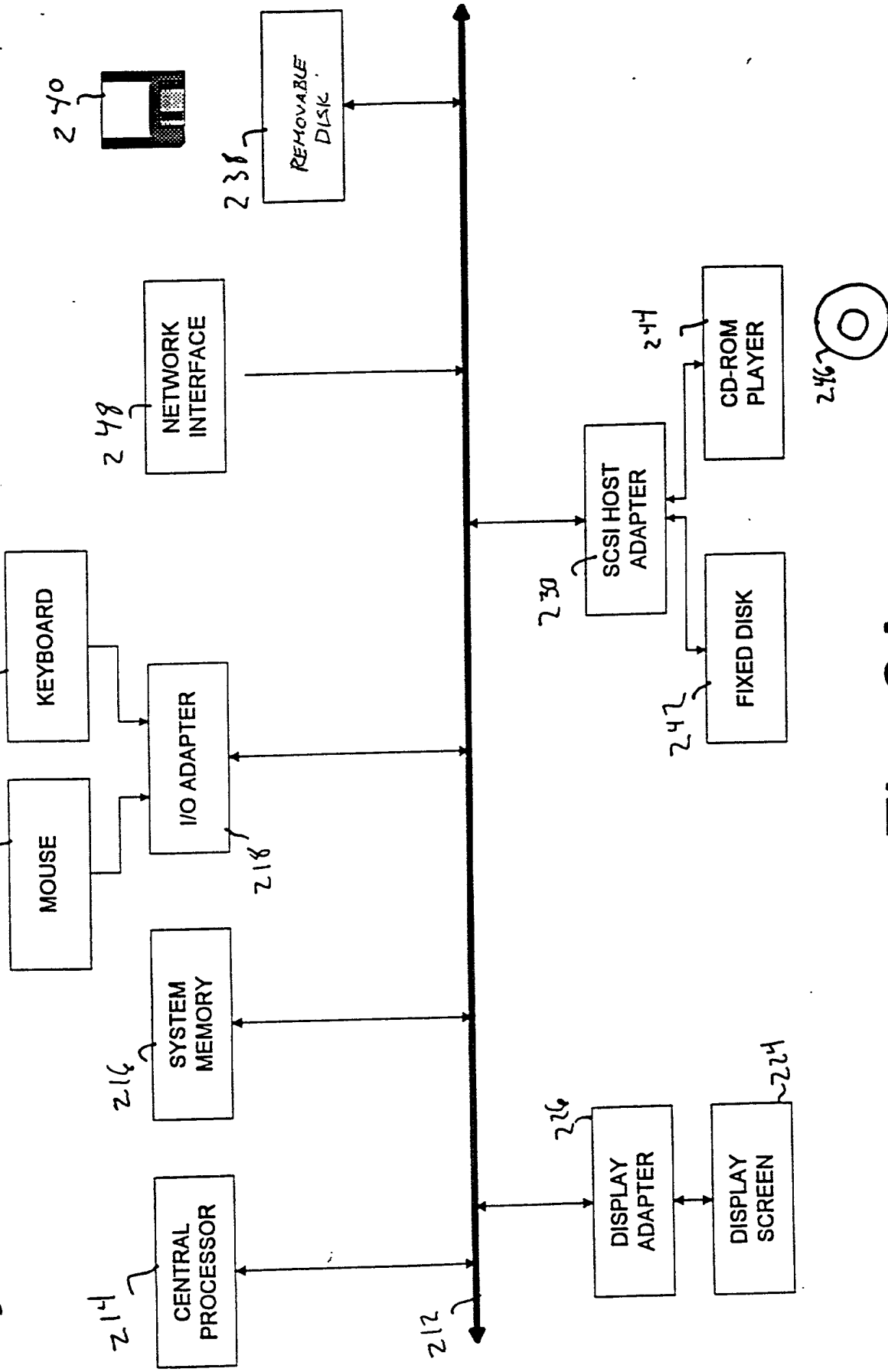


Fig. 2A

260

66560" 4642660

2

LAN

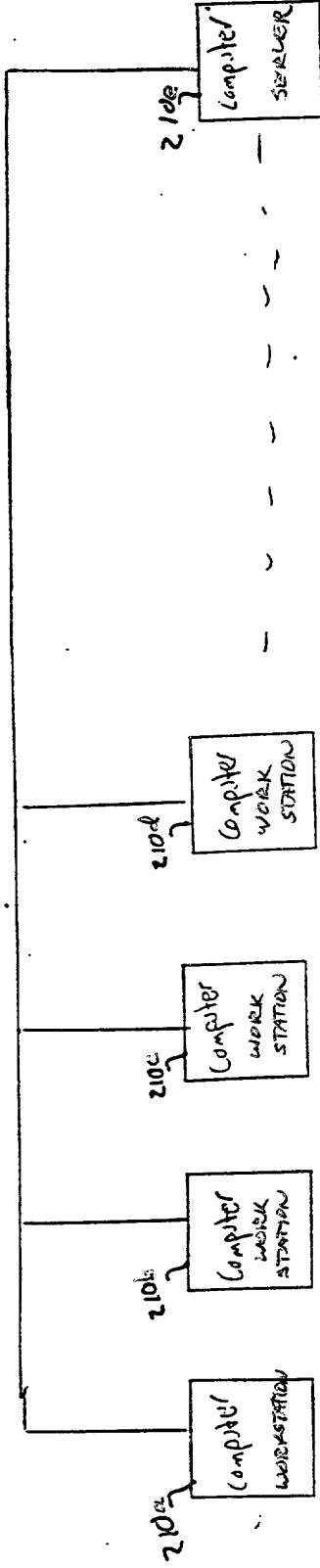


Fig. 2B

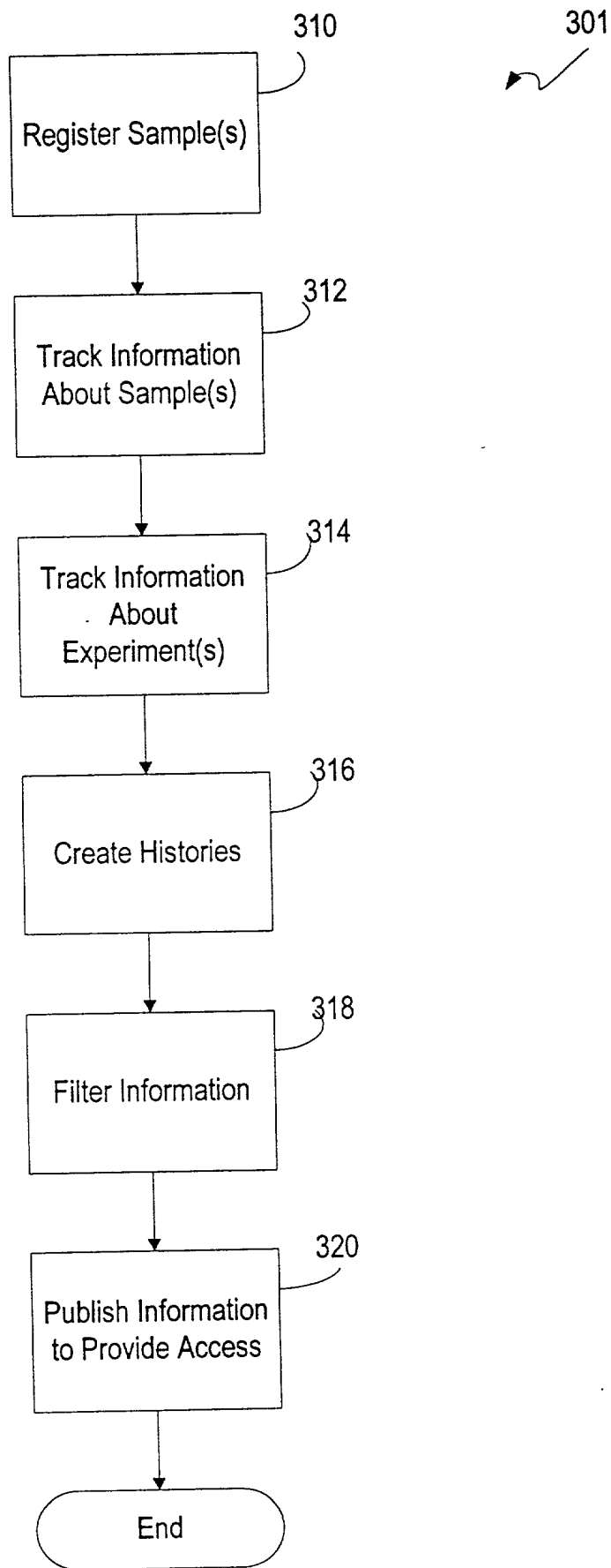


Fig. 3A

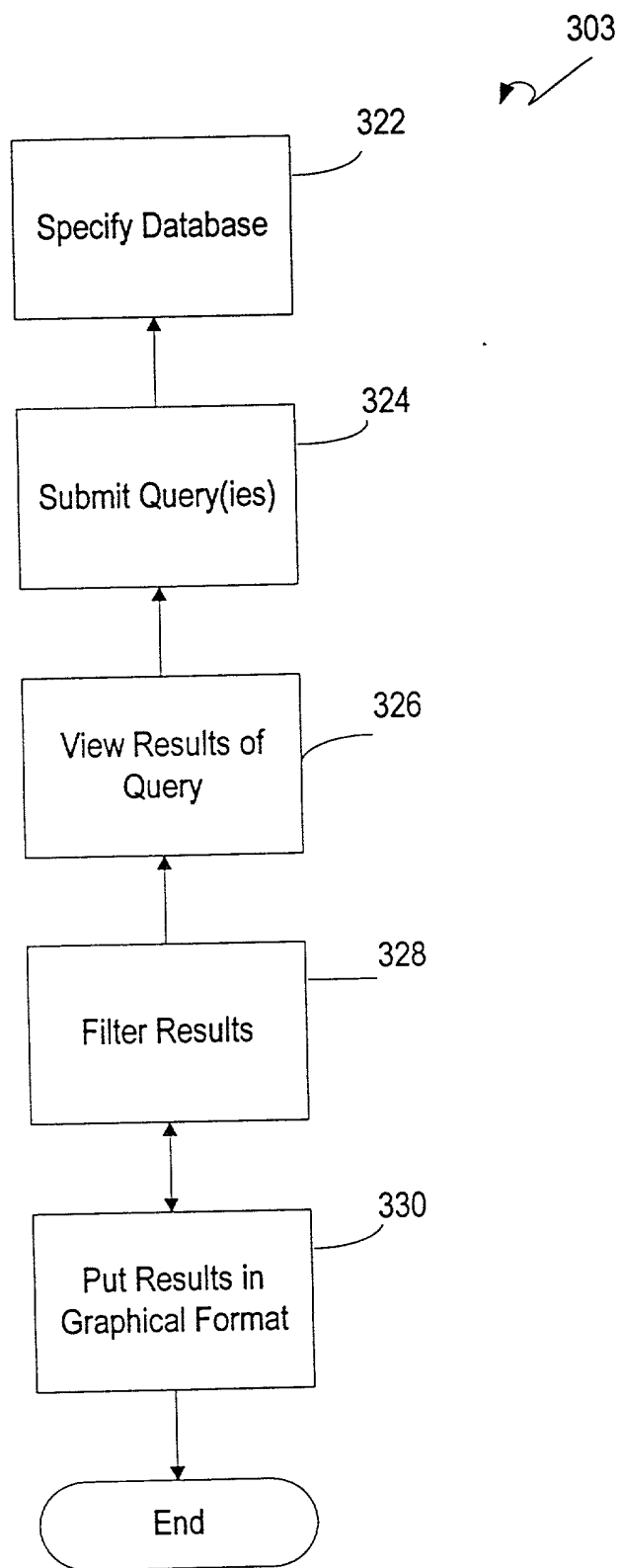


Fig. 3B



GeneChip HIV Workflow

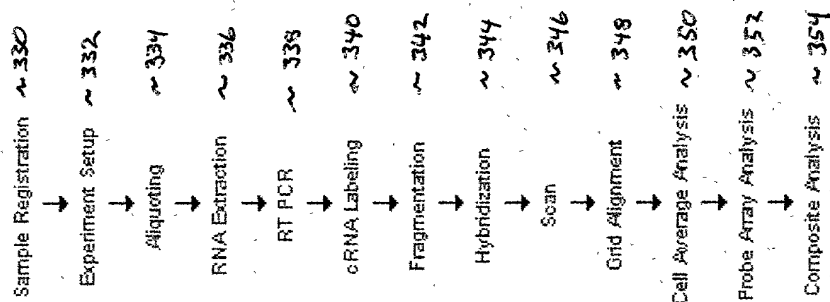


Fig. 3C

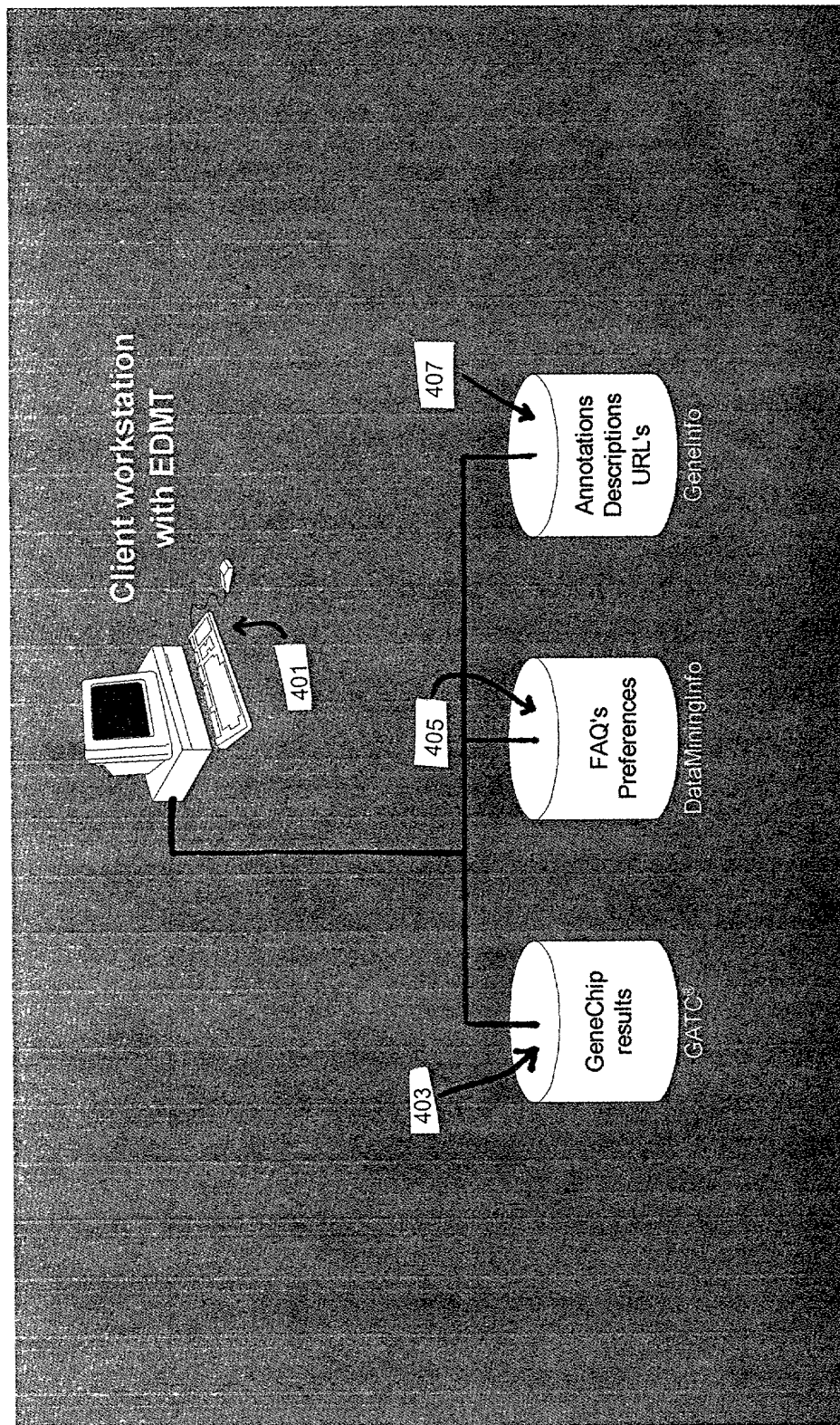


Fig. 4A

Database Connection

- Connection via ODBC
- ODBC Data Source accessible from the
- Add/Remove/Configure
- Select from available

All DSN's on workstation

Currently selected DSN

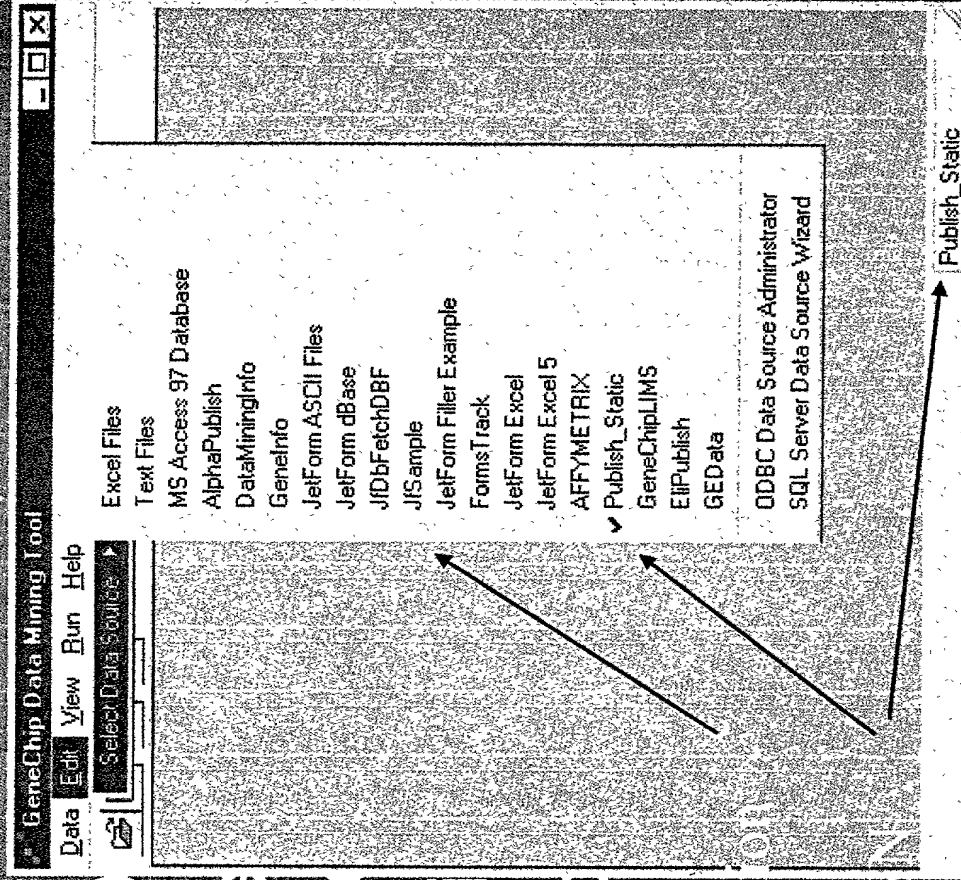


Fig. 4B

504

506

501

GeneChip Expression Automation

Sample Info:

Sample name: Demo

Sample type: Blood

Sample project: Demo

Description: This is a description

Comments: This is a comment

Experiment Info:

Experiment name: DemoE subA

Probe Array Image ID: DemoCsubA

Probe Array Types: Hu6800subA
Hu6800subB

Probe Array Lot #: Lot # 12345

Cell Average Set: DerekDP0

Probe Array Analysis Set: systest42

Publish Database: YeastDemo

Automate

Clear

User parameter sets

Sample/Experiment/Probe array image ID:

Sample/Exp/Probe array image ID

Demo

DemoE subA

DemoCsubA

DemoE subB

DemoCsubB

DemoE subC

DemoCsubC

DemoE subD

DemoCsubD

Demo1

Demo1E subA

Demo1E subB

Demo1E subC

Demo1E subD

Demo2

Multiple experiments per sample

Multiple samples

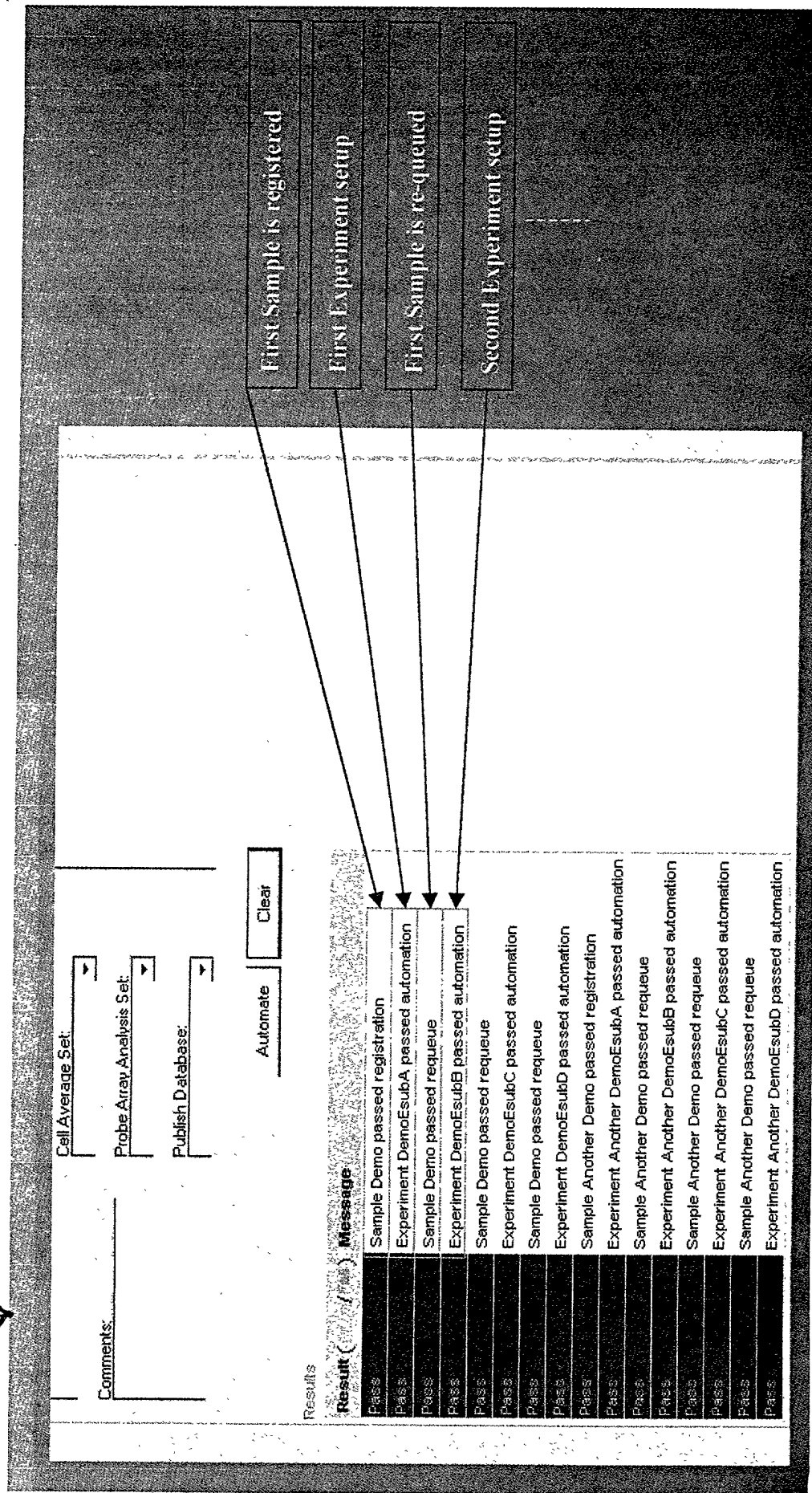


Fig. 5B

512 516 518

Filtered by sample project

GeneChip Expression Scan

Pending Scan

Hybridized Exp/Probe Array Image ID:

DemoEsubA/DemoCsubA
DemoEsubB/DemoCsubB
DemoEsubC/DemoCsubC
DemoEsubD/DemoCsubD

Sample/Experiment Info:

Sample Info:
Name - Demo
Project - Demo
Type - Blood
User - dbernth
Date - Aug 23 1998 12:36PM
Experiment Info:
Name - DemoEsubC

Hybridization Info:

Reagents: (null)
Lot Number: (null)
Comments: This is a comment

Sample projects:

Demo

Sample types:

Blood

Probe array types:

Probe Array Info:

Probe Array Image ID:
DemoCsubC
Probe Array Type: Hu6800subC
LotNumber: Lot # 12345

Probe Array Type:
Hu6800subC

Clear

Previous Next

Sample Registration | Experiment Setup | Hybridization | Scan | Grid Alignment | Cell Average Analysis | Probe Array Analysis |

Expression Workflow | Log out

Fig. 5C

GeneChip HIV Sample Registration

Sample name:

Sample project:

Sample type:

Description:

Comments:

Registered samples: Sample Info:

ID: Vessel:

Initial stage:

RT PCR

cRNA Labeling

Fragmentation

Hybridization

Scan

Register

Clear

Update...

History...

Next

Sample Registration | Experiment Setup | Acquiring | RNA Extraction | RT | cRNA Labeling | Fragmentation | Hybridization | Scan | Alignment | Cell Average Analysis | Probe Array Analysis | Composite Analysis

HIV/Workflow | Log out

Fig. 6A

610

Experiment Setup

[illegible]

Aliquoting

[illegible]

RNA Extraction

General Information		Personal Information		Medical History		Current Medication		Allergies		Family History		Social History		Physical Examination		Laboratory Tests		Immunization Status		Other Notes	
Name	Age	Sex	Date of Birth	Address	City	State	Zip	Phone	Insurance	Primary Care	Specialist	Medication	Allergy	Family	Physical	Laboratory	Immunization	Other	Notes	Signature	Date
John Doe	45	M	1978-01-15	123 Main St	Anytown	CA	90210	(555) 123-4567	Blue Cross	Dr. Smith	Dr. Jones	Aspirin, Penicillin	None	None	Normal	Normal	Up to date	None	None	Dr. Smith	10/26/2023

614

Fig. 6B

616

KFFCR

[illegible]

crNA Labeling

[illegible]

Fragmentation

[illegible]

620

Completed hybridization

Fluidics Station 1			
Assay Types: HIV	Experiments: HivDemoE	Probe Array Types: PRT 440A	Protocols: PRT 440a
Vessel Bar Code: 12345	Probe Array Lot: Lot # 12345	Probe Array Image ID: HivDemoCa	Hybridized Experiments: []
Sample Projects: HivDemo	Reagents: Hiv antisense reagent	Module: C 1 C 2 C 3 C 4	Reagent Lot: Lot # 6789
Users: dbernh	Comments: Hiv antisense Hyb comment	Time/Cycle	Temp
Hybridization Run			
Experiment	Probe Array Type	Current Stage	Run
Clear		Refresh	Close

Fluidics Station 1			
Assay Types: HIV	Experiments: []	Probe Array Types: PRT 440A	Protocols: []
Vessel Bar Code: 12345	Probe Array Lot: Lot # 12345	Probe Array Image ID: HivDemoCa	Hybridized Experiments: HivDemoE HivDemoE HivDemoE
Sample Projects: HivDemo	Reagents: Hiv sense reagent	Module: C 1 C 2 C 3 C 4	Reagent Lot: Lot # 6789
Users: dbernh	Comments: Hiv sense Hyb comment	Time/Cycle	Temp
Hybridization Run			
Experiment	Probe Array Type	Current Stage	Run
Clear		Refresh	Close

Fig. 6C

631

Failed automatic grid alignment and is waiting on the queue

GeneChip HIV Grid Alignment

632

Pending GridAlignment

Experiment(s)/Probe Array(s)

636

Sample/Experiment Info:

Sample Info:

Name - HivDemo

Project - HivDemo

Type - (null)

User - dbernh

Date - Aug 23 1998 12:28PM

Experiment Info:

Name - HivDemoE

638

File Type Info:

Probe Array Image ID:

HivDemoCs

File Type: DAT

Name: HivDemoCs.DAT

User Create: dbernh

Date Create: Aug 24 1998

639

Probe Array Info:

Array Name: HivDemoCs

Array Type: PRT 440S

Lot Number: Lot # 123456

634

Completed GridAlignment

Experiment(s)/Probe Array(s)

Sample projects:

HivDemo

Sample types:

Probe array types:

PRT 440S

Grid Alignment

Manual

Clear

The grid was manually aligned and sent to cell averaging

Previous

Next

Sample

Experiment

Registration

Setup

RNA

Extraction

Aliquoting

RT

PCR

Labeling

Fragmentation

Hybridization

Scan

Grid

Alignment

Cell

Average

Probe

Analysis

Composite

Array

Analysis

HIV Workflow

Log out

Fig. 6D

GeneChip HIV Cell Average Analysis

Sample projects:

Experiment names:

Sample types:

Probe array types:

User names:

Cell Average Name:

Image Data/Probe Array Type:

Image Data Cell Data:

Algorithm:

Parameter:

Clear

Image Name:

Cell Name:

Probe Array Type:

Parameters:

Result:

Percentile=75

CellFileVersion=3

CellMargin=2

CellsY=145

CellsX=141

Sample Registration:

Experiment Setup:

Aliquoting:

RNA Extraction:

RT-PCR:

cRNA Labeling:

Fragmentation:

Hybridization:

Scan:

Grid Alignment:

Cell Average Analysis:

Probe Array Analysis:

Composite Analysis:

Previous

Next

HIV Workflow:

Log out

Fig. 6E

GeneChip HIV Probe Array Analysis

Sample projects:

Experiment names:

Sample types:

Probe array types:

User names:

Probe Array Name:

Cell Data/Probe Array Type:

Probe Array Data:

Algorithm:

GeneChip Rules:

Parameters:

Log:

Clear:

Undo:

Results

Cell Name	Probe Array Name	Probe Array Type	Parameters	Result
HivDenoCa.CEL	hivdenoca.chp	pri 440a	Ratio=1.2 BG cutoff=15.0 BG Sub=1.0 IntraChipComposite=1	Pass
hivdenocs.cel	hivdenocs.chp	pri 440s	Ratio=1.2 BG cutoff=20.0 BG Sub=1.0 IntraChipComposite=1	Pass

Previous Next

Sample Registration | Experiment Setup | Aliquoting | RNA Extraction | RT-PCR | RNA Labeling | Fragmentation | Hybridization | Scan | Grid Alignment | Cell Average Analysis | Probe Array Analysis | Composite Analysis | HIV Workflow | Log out

Fig. 6F

GeneChip HIV Composite Analysis

Sample projects: HivDemo

Experiment names: HivDemoE

Sample types:

User names: dbemh

Composite Name:

Composite Data:

Algorithm: GeneChip Rules

Parameter:

Clear

Results

Sense Chip File Name: hivdemoE.chp

Antisense Chip File Name: hivdemoE.chp

Composite File Name:

Parameters:

Result: Pass

Previous

Sample Registration:

Experiment Setup:

Aliquoting:

RNA Extraction:

RT-PCR:

cRNA Labeling:

Fragmentation:

Hybridization:

Scan:

Alignment:

Cell Average Analysis:

Probe Array Analysis:

Composite Analysis:

HIV Workflow | Log out

Fig. 6G

GeneChip HIV Sample History

Sample name: HivDemo

EXPERIMENT NAMES WITH COMPLETED PROCESSES:

HivDemoE
 *Sample Registration
 *Experiment Setup
 *Aliquoting
 *RNA Extraction
 *RT PCR
 *cRNA Labeling
 *Fragmentation
 *Hybridization
 *Scan
 <HivDemoCa.DAT
 <HivDemoCs.DAT
 *Grid Alignment
 >HivDemoCa.DAT
 >HivDemoCs.DAT
 *Cell Average Analysis
 <HivDemoCa.CEL

Queue Information

HivDemoE/Hybridization

> Vessel
 *ID: HivDemoELabsFig
 > Probe array
 *ID: HivDemoCs
 *Array type: PRT 440S
 *Lot #: Lot # 12345
 <Hyb Description 0
 *Date: Aug 24 1998 7:12:16AM
 *Name: PRT 440s
 *Reagents: Hiv sense reagent
 *Lot #: Lot #6789
 *Comments: Hiv sense Hyb comment
 *Description: (none)
 *Stages: Completed
 *Station ID: 0
 *Station #: 1
 *Module: 1
 ..

Print Preview... Clear Cancel

Sample Registration | Experiment Setup | Aliquoting | RNA Extraction | RT PCR | cRNA Labeling | Fragmentation | Hybridization | Scan | Grid Alignment | Cell Average Analysis | Probe Array Analysis | Composite Analysis

HIV Workflow | Log out

Fig. 6H

Probe array types: Hu6800subA 710

User names: dbernh 712

Cell Average Name: dbSubA4.dat/Hu6800subA 716

Algorithm: GeneChip Histogram 714

Parameter: GeneChip Percentile 718

☐ Use set name in analysis

Existing set name(s): 711

Create/Update set name: 713

Run
Clear
Defaults
Create Set...

Image Name	Cell Name	Probe Array Type	Algorithm	Set Name	Result
dbSubA0.dat	dbsuba0.cel	Hu6800subA	GeneChip Percentile	DerekDP0	Pass
dbSubA1.dat	dbsuba1.cel	Hu6800subA	GeneChip Percentile	DerekDP0	Pass
dbSubA10.dat	dbsuba10.cel	Hu6800subA	GeneChip Percentile	DerekDP0	Pass
dbSubA11.dat	dbsuba11.cel	Hu6800subA	GeneChip Percentile	DerekDP1	Pass
dbSubA12.dat	dbsuba12.cel	Hu6800subA	GeneChip Percentile	DerekDP1	Pass
dbSubA13.dat	dbsuba13.cel	Hu6800subA	GeneChip Percentile	DerekDP1	Pass

Support batch analysis

Support user parameter sets

Support updating and creating user parameter sets

Support sets or parameters

Support for sets on a per image file basis

Fig. 7A

GeneChip Create Set Name

Go back to GeneChip Data Analysis page.

Probe Array Types: 720

Hu6800subA
Hu6800subB
Hu6800subC
Hu6800subD
1165ara
1165bra

>>

Probe Array Types used: 722

Hu6800subA
Hu6800subB
Hu6800subC
Hu6800subD

>>

Existing set name(s): 724

Derek0
Hu6800
JStephen1165ara1
newparam0
syan1
syan12
syan2

>

Create/Update set name:

Hu6800

Scaling | **Normalization** | **ProbeMask** | **Baseline**

☒ Use Baseline Comparison File.

Bartel36IMG.CHP
ltest1a.chp
lhuman_a1.CHP
dbsuba0.chp
dbsuba1.chp
dbsuba10.chp

>

Parameter: 30.00 **Modify**

Horizontal Zones=4
Vertical Zones=4
%BG Cells=80
STP=3.00
SD Multiplier=30.00
Ratio Threshold=1.50
Ratio Limit=10.00
Abs Pos/Neg Min=-3.00
Abs Pos/Neg Max=4.00
Abs Pos/Total Min=0.33
Abs Pos/Total Max=0.43
Abs Avg Ratio Min=0.90

Update Set

Clear

Defaults

Fig. 7B

- Assay type
- Data type
- Probe array type
- Month / Day / Year
- Sample project
- Experiment name
- Sample type
- User name

GeneChip Expression Cell Data Analysis

Go back to GeneChip Data Analysis Filter page.

Cell Data/Probe Array Name:

Cell Data/Probe Array Type:

Sample projects:

Experiment names:

Sample types:

Probe array types:

User names:

Probe Array Name:

Algorithm:

Scaling | Normalization | ProbeMask | Baseline | Parameters

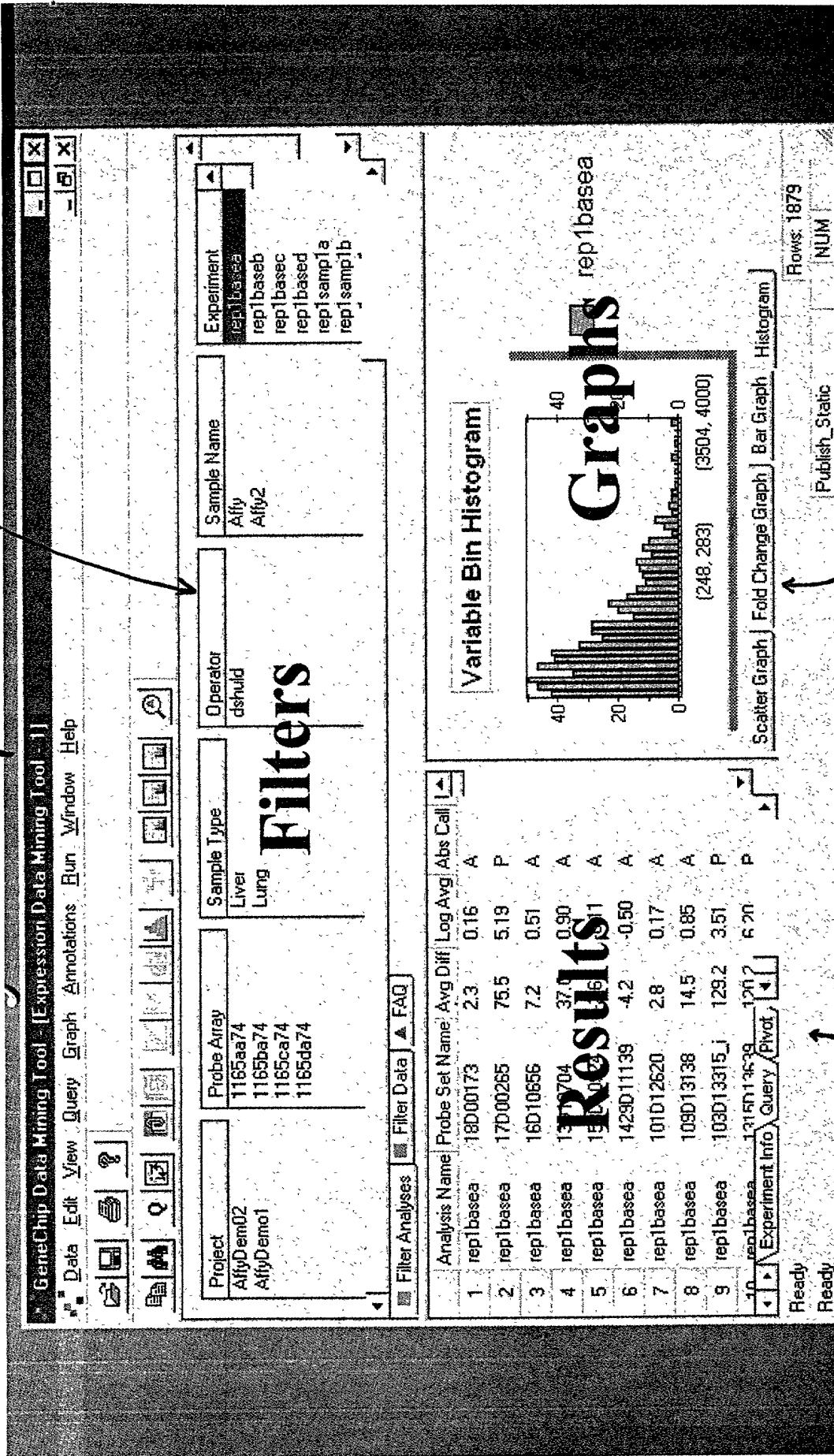
SDT Multiplier: 35.00

Horizontal Zones=4
Vertical Zones=4
%BG Cells=80
STP=3.00
Ratio Threshold=1.50
Ratio Limit=10.00
Abs Pos/Neg Min=3.00
Abs Pos/Neg Max=4.00

☐ Use set name in analysis
Existing set name(s):

Create/Update set name:

Fig. 7C



804

806

Fig. 8A

Filter to select desired analyses

Project AlfyDem02 AlfyDemo1	Probe Array 814 1165aa74 1165ba74 1165ca74 1165da74	Sample Type 816 Liver Lung	Operator 818 dshuld	Sample Name 820 Alfy Alfy2	Experiment 822 replbasea replbaseb replbasec replbased replsamplea replsampleb replsamplec replsampled replsample2a	Analysis 824 replbasea
-----------------------------------	--	-------------------------------------	---------------------------	-------------------------------------	---	------------------------------

802

Filter results

Diff Call	Probe Set Name	Avg Diff	Abs Call	Positive	Negative	Pairs	Pairs Used	Loq Avg	PM Excess	MM Excess	Inc	Dec	Inc Ratio	Dec Ra
Sort:		Descending												
Line 1:		>20												
Line 2:														

Build Avg Diff Filter

>20

OK Cancel

Undo

☐ = ☐ < ☐ > ☐ (☐) ☐ And ☐ Or
☐ % ☐ Like ☐ In ☐ Between ☐ Not

Fig. 8B

Experiment results table

Query results table

Pivot results table

Project	AlfyDemo1	replbasea	replsample
Probe Array	1165aa74	1165aa74	1165aa74
Sample Type	Lung	Lung	Lung
Operator	dshuld	dshuld	dshuld

Analysis Name	Probe Set Name	Avg Diff	Log Avg	Abs Call
1 replbasea	18D00173	2.3	0.16	A
2 replbasea	17D00265	75.5	5.19	P
3 replbasea	16D10656	7.2	0.51	A
4 replbasea	13D10704	37.0	0.90	A

Analysis Name	Probe Set Name	Avg Diff	Log Avg	Abs Call
18D00173	A	0.9	A	A
17D00265	P	66.5	P	P
16D10656	A	0.4	A	A
13D10704	A	26.8	A	A
158D10924	A	3.6	A	A
1429D11139	A	0.2	A	A
101D12620	A	4.8	A	A
109D13139	A	29.1	P	P
103D13315	P	216.9	P	P
1315D13639	P	90.9	P	P
108D13665	A	1.6	A	A

Fig. 8C

- Graph any numeric result
- Log or linear scale
- Multiple analyses per axes
- Description of probe set
- Hot link to external database (Entrez)
- Roping to filter pivot table
- Options (point size, colors, etc.)

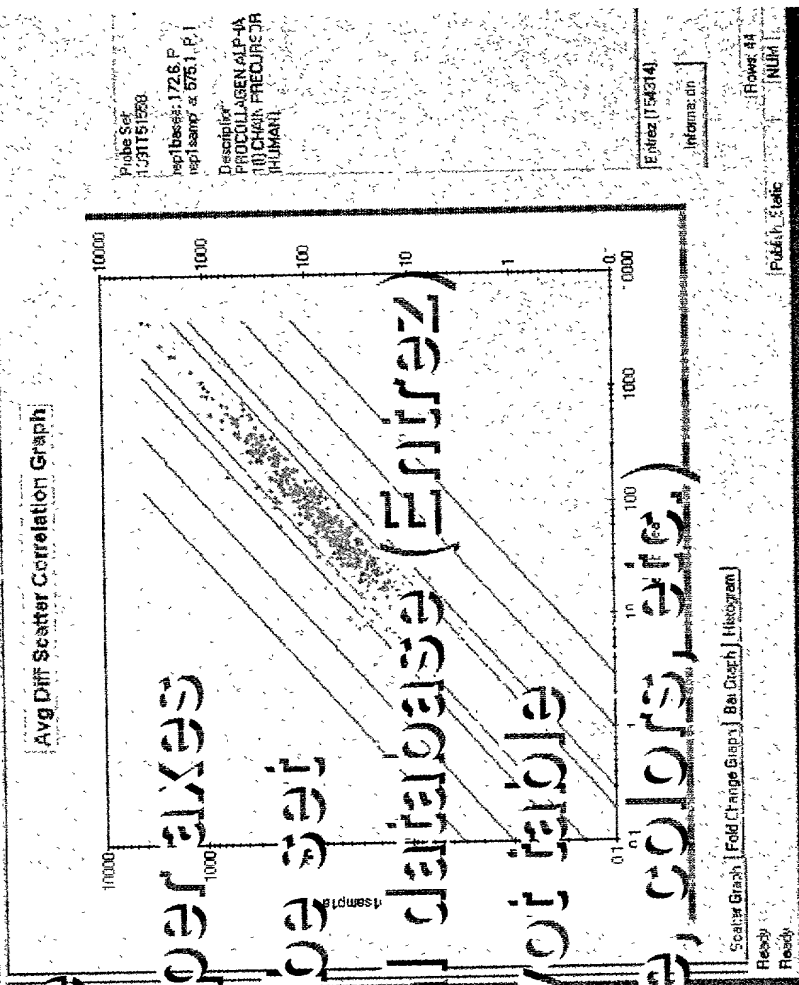


Fig. 8D

- Graph any numeric results
- Options (bar size, colors, etc.)

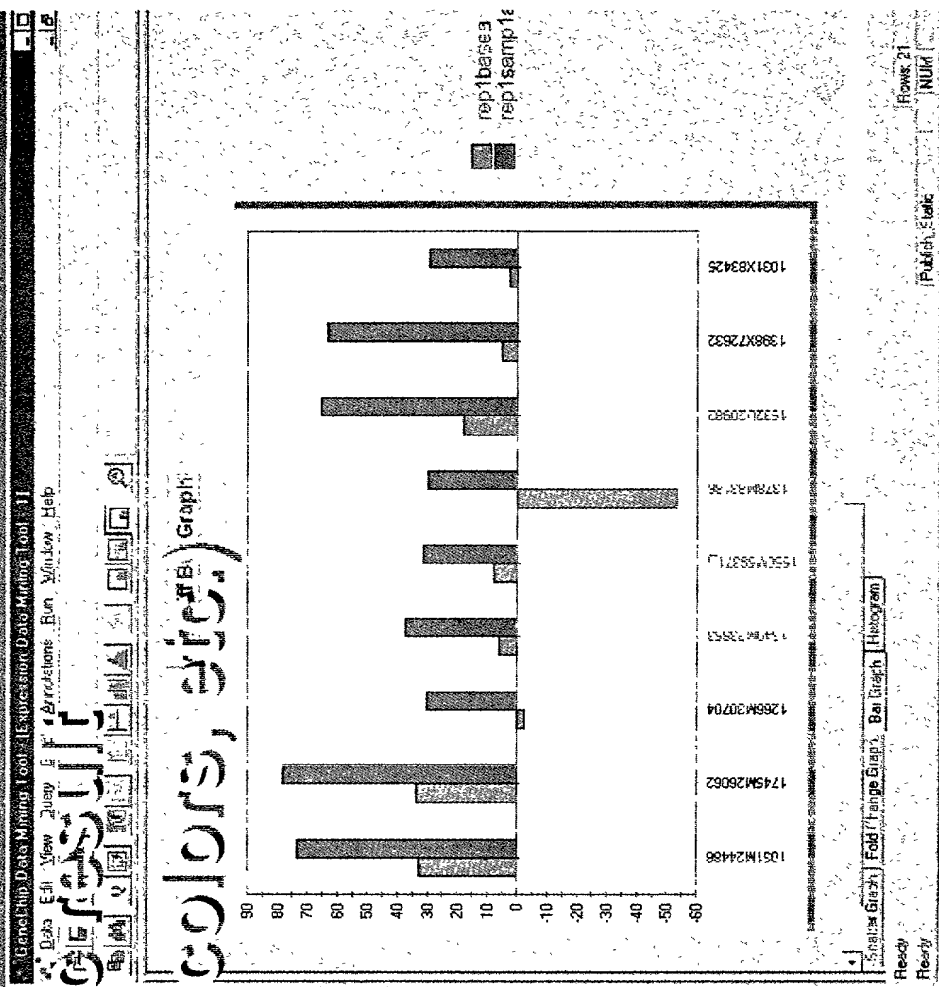


Fig. 8F

Histogram Graph

- Histogram of average difference
- Landmarks
- Options (bin size, range, colors, etc.)

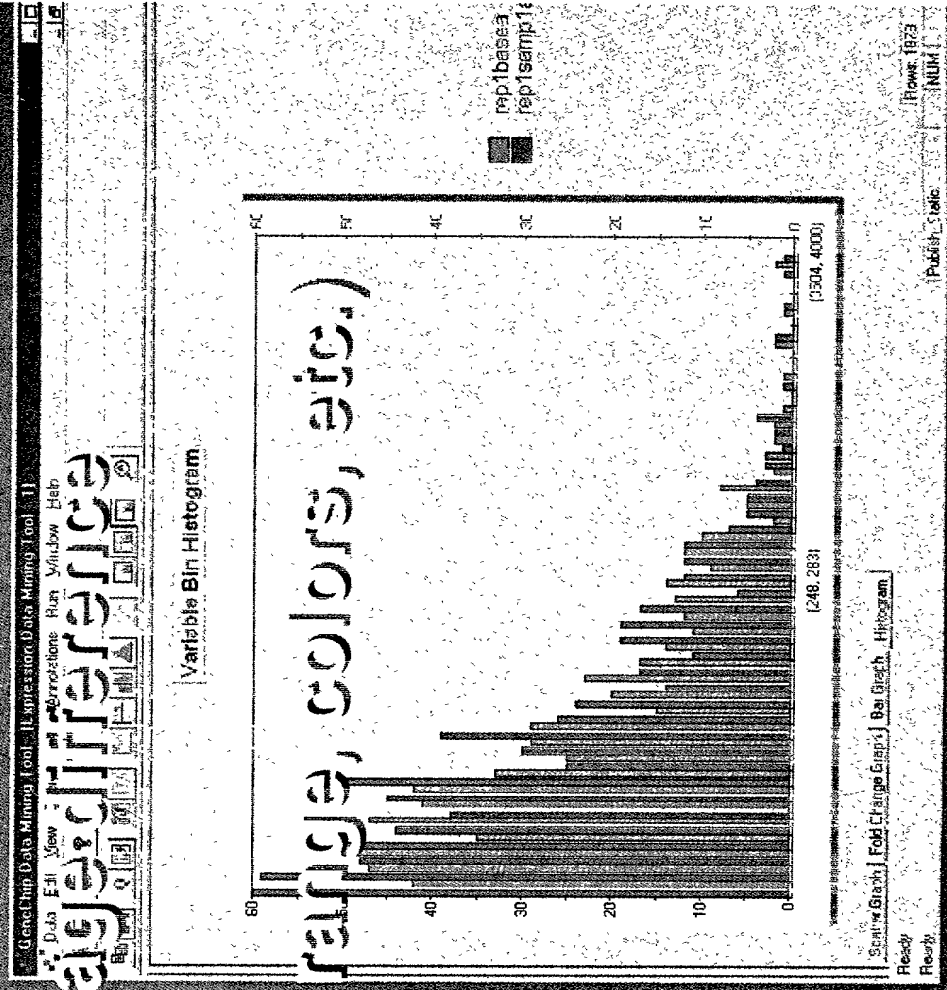
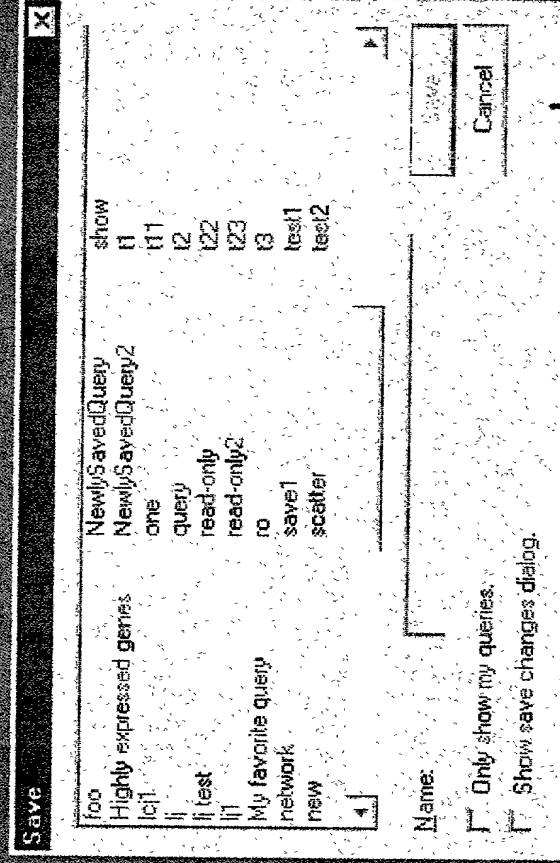


Fig. 8G

- Filters saved to DataMiningInfo database
- Name saved queries



901

Fig. 9A

- Annotate probe set(s)
- Annotations include
 - Annotation text
 - Type (user defined)
 - User name
 - Date of annotation

Annotate

Probe Set(s): 109013138

Annotation Type: Classification

Annotation: The function of this gene is to ...

OK Cancel

903

Fig. 9B

- Specify multiple constraints (filters)
- Update annotations (owner only)

Query Annotations

Field	Search For	Operation	User	Date	Description
Probe Set	109D	AND			
Classification	function	AND			
>>					
Probe Set	Type	Annotation	User	Date	Description
1	109D13138	Classification		8/24/98 4:02:21 PM	Human mRNA for dipeptidase.

Fig. 9D

- Search the descriptions database
- User defines search criteria
- Results added to filter

Search Array Descriptions

Search for:
human AND receptor AND kinase

Probe Set	Array Type(s)	Description
24D13168	1165aa74	Human gene for endothelin-B receptor (hET-BR)
506D15056	1165aa74	Human gene for thromboxane A2 receptor, exon
106D16532	1165aa74	Human gene for very low density lipoprotein rece
301U16934_L	1165aa74	Human receptor tyrosine kinase (DTK) mRNA, cc
1746M74782	1165aa74	INTERLEUKIN-3 RECEPTOR ALPHA CHAIN, P1
1477L20859	1165aa74	Human leukemia virus receptor 1 (GLYR1) mRNA
1263M69238	1165aa74	Human aryl hydrocarbon receptor nuclear transla
203D13305	1165aa74	Human mRNA for brain cholecystokinin receptor
170D25235	1165aa74	Human mRNA for alpha1C adrenergic receptor, c
170M16288	1165aa74	Human B myeloid leukemia receptor kinase (CDK1

Find Add to Filter Close

Fig. 9F

1002

Probe Set Description

Name: 105D13138

Description:
Human mRNA for dipeptidase.

Annotations:
The function of this gene is to ...
Type: Classification User: levon Date: Monday, August 24, 1998

Entrez (D13137) Information Annotate Close

Probe Set Description dialog

Fig. 10A

Browser Window

GeneChip Data Mining Tool - [Internet Browser]

Data Edit View Window Help

Stop Refresh LIMS

NCBI Entrez Nucleotide Query ELAST Entrez ?

Other Format:

LOCUS HUDDP10 380 bp DNA PRI 03-APR-1993

DEFINITION Human dipeptidase gene, exon 1C.

ACCESSION D13137

MID G215538

KEYWORDS dipeptidase.

SOURCE Homo sapiens liver DNA, clone lik genomic library.

ORGANISM Homo sapiens

Eukaryotes; mitochondrial eukaryotes; Metazoa; Chordata; Vertebrates; Mammalia; Eutheria; Primates; Catarrhini; Hominoidea; Homo.

REFERENCE 1 (bases 1 to 380)

AUTHORS Satch, S., Kusunoki, C., Kunita, Y., Niwa, M. and Kohsaka, K.

TITLE Cloning and structural analysis of genomic DNA for human renal dipeptidase

JOURNAL Biochim. Biophys. Acta 1172 (1-2), 181-183 (1993)

REFERENCE 2 (bases 1 to 380)

AUTHORS Satch, S.

TITLE Direct Submission

JOURNAL Submitted (01-SEP-1992) to the DDBJ/EMBL/GenBank databases. Susuma

For Help, press F1

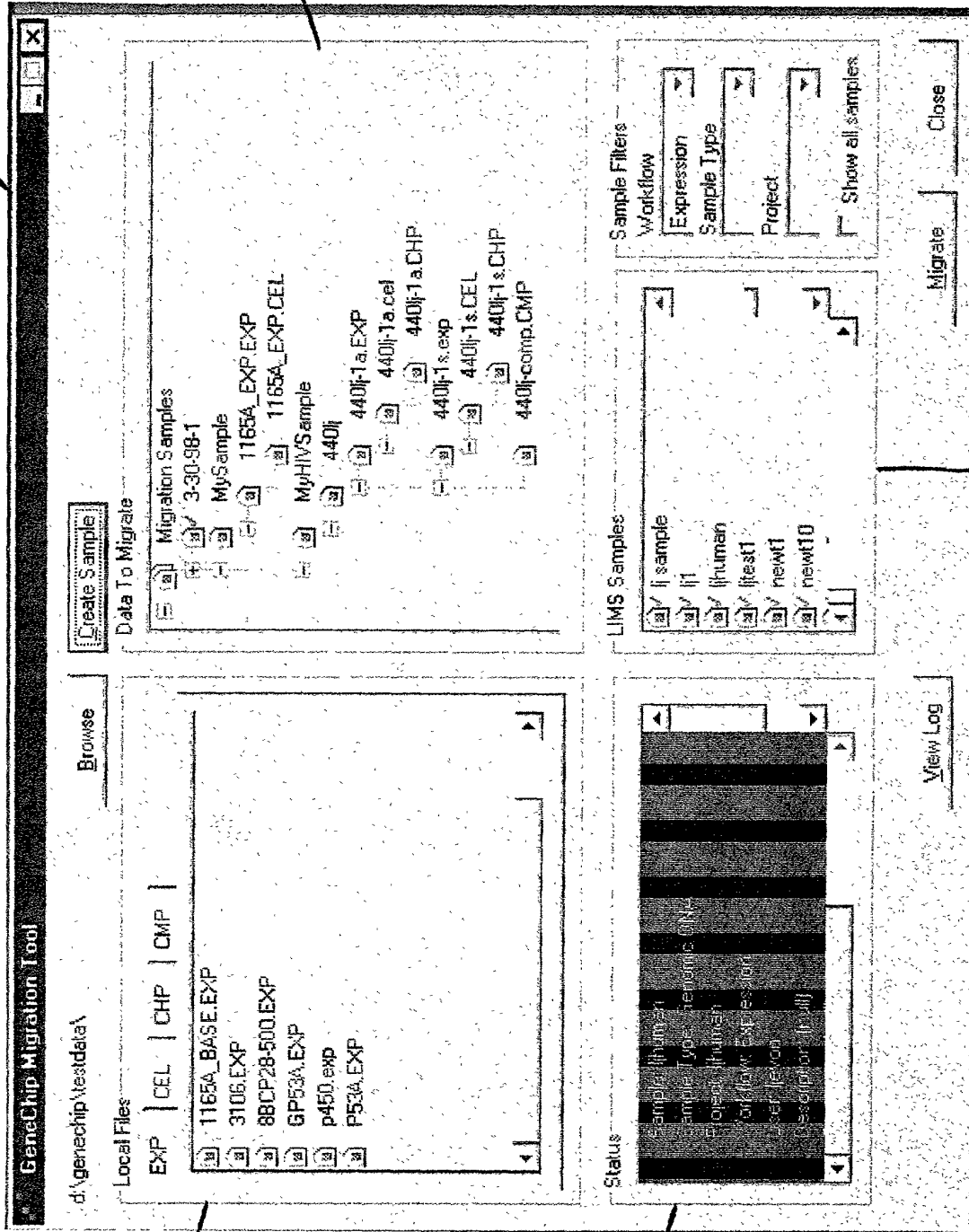
Print Static NUM

1004

Results

Seq	File	Access	Time	Dir	▲	PAQ															
seq#	File Name	File Size	Bytes Posited	Negative	First	Pass	Used	Pos	Ratio	Pct Fraction	90 Avg	PM	2 Extra	MM	Excess	Posited	Avg D	Alts	Col	De	
1	seq1-sample	14304157	10	2	21	2	19	0.6	0.6	0	50	46.5	P	6	0						
2	seq2-sample	19590436	8	1	31	2	19	0.9	1.9	0	80	18.9	P	0	9						
3	seq3-sample	14304157	9	2	20	20	17	0.4	1.82	0	45	1161	P	0	1						
4	seq4-sample	17681859	11	2	20	20	17	0.6	3.94	1	0	55	283	P	4	0					
5	seq5-sample	138420850	7	0	20	20	17	0.3	1.95	0	7	278	P	0	4						
6	seq6-sample	143242549	19	0	21	2	19	0.9	6.9	4	0	576.3	P	23	0						
Ready																				Rows: 30	
File: seq01																				File: seq01	
File: seq01																				File: seq01	

Fig. 10B



1024

1028

1026

1030

Fig. 10C

1031

Fluidics Station 1

#	Experiment Name	Probe Array Type	Protocol	Current Stage	Time/Cycle	Temp	Close	Run
1	1165A_BASE	1165A-PA	EMERGEWS1					
2	[No Probe Array]							
3	[No Probe Array]							
4	[No Probe Array]							

File Mode

Fluidics Station 1

Assay Types:	Expression	Experiments:		Probe Array Types:		Protocols:	EMERGEWS1
Vessel Bar Code:	Control	Probe Array Lot:	71403	Probe Array Image ID:	71403	Hybridized Experiments:	1000AHigh
Sample Projects:	[All Projects]	Reagents:				Reagent Lot:	710812-04
Users:	llewon	Comments:	15nov97			Module	6 1 2 3 4

Hybridization Run

Experiment	Probe Array Type	Protocol	Current Stage	Time/Cycle	Temp	Run

Clear Refresh Close

LIMS Mode

Fig. 10D

1032

Scanner

Experiment Name: 1165A_BASE

Probe Array Type: 1165ARA

Data File Location: d:\genechip\Westdata

Number of Scans: 4

Scanned Experiments: 1165A_BASE, 1165A_EXP, p430, P53A

Start Options... Laser ON Cancel

File Mode

Scanner

Assay Types: Expression

Experiments: lhuman_a2, lhuman_a3, lhuman_a4

Sample Projects: [All Projects]

Users: ljevon

Probe Array Image ID: 000Ahigh

Comments: 15nov97

Probe Array Type: 1228a71a

Number of Scans: 1

Data File Location: \DBSERVER02\GCLIMS\Data

Start Options... Laser ON Cancel

LIMS Mode

Fig. 10E

Experiment Information	
Data File Location:	d:\genomechip\testdata
Experiment Name:	P53A
Current Experiments:	Scanned Experiments:
3106 440i-1a 440i-1s 88CP28-500	1155A_BASE 1155A_EXP p450 P53A
Probe Array Type:	GP53
Probe Array Lot:	601500.6#516
Operator Name:	JHC
Sample Type:	DNA
Sample Description:	Fluorescein
Sample Project:	p53
Comments:	
Reagents:	3x
Reagent Lot:	
Save	Clear
Edit	Print
Back	Delete
Next >	Close

File Mode

Experiment Information	
Data File Location:	\\DBSERVER02\GCLIMS\Data
Experiment Name:	1000A-high
Current Experiments:	Scanned Experiments:
human_a2 human_a3 human_a4 lp53c	1000A-high 1000B-high 1000C-high 1000D-high
Assay Types:	Sample Projects:
[All Types]	[All Projects]
Probe Array Type:	1220a71a
Probe Array Lot:	71449
Operator Name:	llevon
Sample Type:	cDNA, ds
Sample Description:	
Sample Project:	Yeast
Comments:	[null]
Reagents:	
Reagent Lot:	710812-04
View	Print
Back	Next >
	Close

LIMS Mode

Fig. 10F

DECLARATION AND POWER OF ATTORNEY

As a below named inventor, I declare that:

My residence, post office address and citizenship are as stated below next to my name; I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural inventors are named below) of the subject matter which is claimed and for which a patent is sought on the invention entitled: **COMPUTER BASED METHOD FOR PROVIDING A LABORATORY INFORMATION MANAGEMENT SYSTEM** the specification of which X is attached hereto or was filed on as Application No. and was amended on (if applicable).

I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above. I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, Section 1.56. I claim foreign priority benefits under Title 35, United States Code, Section 119 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed.

Prior Foreign Application(s)

Country	Application No.	Date of Filing	Priority Claimed Under 35 USC 119

I hereby claim the benefit under Title 35, United States Code § 119(e) of any United States provisional application(s) listed below:

Application No.	Filing Date
60/100,724	September 17, 1998

I claim the benefit under Title 35, United States Code, Section 120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, Section 112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, Section 1.56 which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

Application No.	Date of Filing	Status

POWER OF ATTORNEY: As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith.

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Joe Liebeschuetz, Reg. No. 37,505
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---	--

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I further declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so

made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

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Date	Date	Date
Signature of Inventor 4	Signature of Inventor 5	Signature of Inventor 6
JOHN SOWATSKY	AURN AGGARWAL	LUIS JEVONS
Date	Date	Date

PA 3019551 v1